

NEBRASKA ACCOUNTABILITY AND DISCLOSURE COMMISSION 1225 L St., Suite 400 P.O. Box 95086 Lincoln, NE 68509 (402) 471-2522 www.nadc.nebraska.gov	STATEMENT OF FINANCIAL INTERESTS NADC FORM C-1	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%; padding: 2px;">POSTMARK DATE</td> <td style="width: 50%; text-align: center; padding: 2px;">2/25/21</td> </tr> <tr> <td style="padding: 2px;">MICROFILM NUMBER</td> <td style="padding: 2px;"></td> </tr> <tr> <td colspan="2" style="text-align: center; padding: 5px;">OFFICE USE ONLY</td> </tr> </table>	POSTMARK DATE	2/25/21	MICROFILM NUMBER		OFFICE USE ONLY	
POSTMARK DATE	2/25/21							
MICROFILM NUMBER								
OFFICE USE ONLY								
BEFORE COMPLETING READ FILING REQUIREMENTS								

- Candidates for designated offices and holders of designated offices and positions must file this statement with the Commission. See Sections 1A and 1B of the instructions.
- Candidates (including incumbents) subject to this filing requirement must file this statement in order to have their names appear on the ballot. (See Instructions).
- Designated officeholders and holders of designated positions must file this statement with the Commission annually.
- Dollar values need not be report for any item, except Item 11.
- Persons who fail to file as required are subject to a civil penalty of up to \$2,000.

ITEM 1	YOUR NAME, ADDRESS AND PHONE NUMBER					
Name	Pillen	James	D	Telephone No.	402-276-0691	
	LAST	FIRST	MIDDLE			
Address	6275 Country Club Drive			Columbus	NE	68601
	STREET ADDRESS OR RURAL ROUTE			CITY	STATE	ZIP CODE

ITEM 2	OCCASION FOR FILING (Check Appropriate Box)
☐ A candidate for elective office ☒ Annual officeholder's or state employee's report ☐ Left office or position ☐ Newly appointed to office or position	

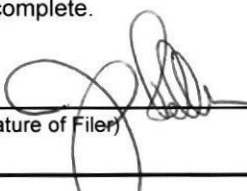
ITEM 3	OFFICE HELD & TERM OF OFFICE (Incumbent elected/appointed officials and state employees. See 1B of instructions)
List the office or position you currently hold which requires this filing. If you have left office, list the office you held. Office or Position: <u>Nebraska Board of Regents</u> Term: <u>2019</u>	
Name of City, County, District, or State Agency: <u>District 3</u> BEGINS ENDS	

ITEM 4	OFFICE SOUGHT (Candidates only. See 1A of instructions)
List the office sought which requires this filing. Office: _____	
Name of City, County, District, or State Office: _____	

ITEM 5	PERIOD COVERED BY THIS STATEMENT
This statement must cover all financial interests for the entire " preceding calendar year " and not just as of year-end. If you have left office, this statement must cover all financial interests from the end of the calendar year for which you previously filed up to and including the date you left office.	
☐ This statement covers the preceding calendar year January 1 through December 31, <u>2020</u>	
☐ Left office, this statement covers the period January 1, _____ to _____ (DATE YOU LEFT OFFICE OR POSITION)	

ITEM 6 SOURCES OF INCOME OF OVER \$1,000	
Income includes money or any other form of recompense constituting income under the Internal Revenue Code. (See definitions)	
Name and address of any source* (including an individual, business, body of government, political subdivision or body corporate) from which income of over \$1,000 was received.	List the nature of the source's business and the nature of the services you rendered or the circumstances under which income was received. NOTE: Do not list the amount of the income.
List your Government position if you were paid more than \$1,000.	
1.) _____ Please see Attachment A _____ _____	1a.) _____ _____ _____
2.) _____ _____ _____	2a.) _____ _____ _____
3.) _____ _____ _____	3a.) _____ _____ _____
4.) _____ _____ _____	4a.) _____ _____ _____
*NOTE: IF INCOME RESULTED FROM EMPLOYMENT BY, OPERATION OF OR PARTICIPATION IN A PROPRIETORSHIP, PARTNERSHIP, CORPORATION OR OTHER PERSON, LIST THE SAME AS THE SOURCE OF INCOME, BUT NOT THE PATRONS, CUSTOMERS, PATIENTS, OR CLIENTS THEREOF.	
ITEM 7 BUSINESSES WITH WHICH YOU ARE ASSOCIATED Including Non Profits (See definitions)	
Name and address of all businesses, organizations, or associations (profit and non-profit) with which you held a position of officer, director, limited liability company member, partner, or stockholder and any entity in which you held a position of trustee. Such reporting is required based on the position held, not on whether income was received. You need not report business associations which are otherwise listed under Item 6.	
Name and Address of Business or Organization.	Nature of Association
If you have nothing to report, write NONE	
1.) _____ Please see Attachment A _____ _____	1a.) _____ _____ _____
2.) _____ _____ _____	2a.) _____ _____ _____
3.) _____ _____ _____	3a.) _____ _____ _____
4.) _____ _____ _____	4a.) _____ _____ _____
5.) _____ _____ _____	5a.) _____ _____ _____
6.) _____ _____ _____	6a.) _____ _____ _____
7.) _____ _____ _____	7a.) _____ _____ _____

ITEM 8	REAL PROPERTY OF THE FILER IN NEBRASKA (Real property valued at less than \$1,000 and your personal residence need <i>not</i> be reported.)	
List all real property in Nebraska in your name or in which you have a direct ownership interest. The description required must be sufficient to identify the location of the property. Exceptions: You need not report real property owned by a business listed in Item 6 or 7, your personal residence or real property valued at less than \$1,000. Personal residence refers to your principal dwelling-house and adjacent land used for house-hold purposes, such as lawns and gardens.		
Location of Property (Description or Address)		Nature of Property (such as: agricultural, commercial, industrial, residential-rental)
If you have nothing to report, write NONE		
Please see Attachment B		
ITEM 9	OTHER FINANCIAL INTERESTS AND PROPERTY HELD DURING THE PERIOD OF THIS STATEMENT WHICH EXCEEDED A FAIR MARKET VALUE OF \$1,000 AT ANY TIME DURING THE REPORTING PERIOD	
(a) List the names and addresses of the institutions in which you had checking and savings accounts and certificates of deposit.		
Financial Institution		Address
If you have nothing to report, write NONE		
Bank First		1371 26 th Avenue, Columbus, NE 68601
Ameritas		1 Wall Street, New York, NY 10286
(b) List the names of the issuers of all stocks, bonds, and government securities, not otherwise listed under Items 6 or 7.		
If you have nothing to report, write NONE		
None		
(c) Describe other property owned or held for the production of income not otherwise disclosed in Items 6, 7, 8 or 9(a)(b). Include leaseholds and other interests in real estate, promissory notes and other obligations owed to you, beneficial interests in trusts and estates, cash value life insurance, IRAs, deferred income and retirement plans. Exception: Do not include accounts receivable, inventory, fixtures and equipment owned or used by a business listed in Items 6 & 7 or household goods, personal automobiles and other tangible personal property unless such property was held primarily for sale or exchange.		
If you have nothing to report, write NONE		
Retirement Accounts-Ameritas Retirement Accounts-American Funds Please see Attachment B		

ITEM 10	CREDITORS TO WHOM \$1,000 OR MORE WAS OWED OR GUARANTEED BY YOU OR A MEMBER OF YOUR IMMEDIATE FAMILY.		
Exception: Loans from a relative and land contracts which have been recorded with the County Clerk or Register of Deeds need not be reported. Accounts payable, debts arising out of retail installment transactions or loans made by a financial institution in the ordinary course of business need not be reported.			
Name		Address	
If you have nothing to report, write NONE			
None			
ITEM 11	SOURCES OF GIFTS OF A VALUE OF MORE THAN \$100 RECEIVED EXCEPT GIFTS FROM RELATIVES. (See definitions) If you have nothing to report, write NONE		
Name and address of Donor	Occupation or nature of business of Donor	Value of Gift (See Key Below)	Description of Gift and Circumstances or Occasion for Gift
If you have nothing to report, write NONE			
None		Choose Value:	
		Choose Value:	
		Choose Value:	
		Choose Value:	
		Choose Value:	
		Choose Value:	
		Choose Value:	
		Choose Value:	
The monetary value of each gift shall be categorized based on the good faith estimate of the filer. For each reported gift insert in the Value column the letter which corresponds to the value category of the gift. The value categories are: A) \$100.01 to \$200; B) \$200.01 to \$500; C) \$500.01 to \$1,000; D) \$1,000.01 or more.			
ITEM 12	SIGNATURE OF FILER AND DATE.		
I hereby state that I have used all reasonable diligence in the preparation of this Statement and that to the best of my knowledge it is true and complete.			
 (Signature of Filer)		2/25/21 (Date)	

Criminal Penalties: Any person who files a statement knowing that information is false shall be guilty of a Class IV Felony, See §49-14,134, Nebraska Revised Statutes.

Attachment A

Source	Address		Nature of Business	
Bartlett Foods, LLC	3214 25th Street	Columbus, NE 68601	Agriculture	Shareholder
DNA Genetics, LLC	2415 13th Street	Columbus, NE 68601	Genetics	Shareholder
DNA Production, LLC	2415 13th Street	Columbus, NE 68601	Genetics	Shareholder
DNA Transport, LLC	2415 13th Street	Columbus, NE 68601	Genetics	Shareholder
Double D Farms Family Farms, LLC	3214 25th Street	Columbus, NE 68601	Agriculture	Shareholder
Frontier Holding	17002 Marcy Street, Suite 120	Omaha, NE 68118	Banking	Shareholder
GGP, LLC	3214 25th Street	Columbus, NE 68601	Agriculture	Shareholder
Haley & Associates	10703 J Street Suite 103	Omaha, NE 68127	Apartments	Shareholder
Imperial Foods, LLC	3214 25th Street	Columbus, NE 68601	Agriculture	Shareholder
Inland Foods, LLC	3214 25th Street	Columbus, NE 68601	Agriculture	Shareholder
J Pillen Farms	3214 25th Street	Columbus, NE 68601	Agriculture	Owner
JD Hogg	3214 25th Street	Columbus, NE 68601	Agriculture	Partner
JDP, LLC	3214 25th Street	Columbus, NE 68601	Agriculture	Shareholder
M & P, Inc.	3214 25th Street	Columbus, NE 68601	Agriculture	Shareholder
Meypill, LLC	12601 Sagamore Road	Leawood, KS 66209	Agriculture	Shareholder
MPH, LLC	P.O. Box 1341	Hastings, NE 68902	Auto	Shareholder
Northern Nance, LLC	3214 25th Street	Columbus, NE 68601	Agriculture	Shareholder
Northern Plains, LLC	3214 25th Street	Columbus, NE 68601	Agriculture	Shareholder
PFF, LLC	3214 25th Street	Columbus, NE 68601	Agriculture	Shareholder
Pillen Brothers Farm	3214 25th Street	Columbus, NE 68601	Agriculture	Shareholder
Pillen Building	3214 25th Street	Columbus, NE 68601	Agriculture	Owner
Pillen Family Farm Milling-Albion, Inc	3214 25th Street	Columbus, NE 68601	Feedmill	Shareholder
Pillen Family Farms Gene Center	3214 25th Street	Columbus, NE 68601	Agriculture	Shareholder
Pillen Family Farms Transport, LLC	3214 25th Street	Columbus, NE 68601	Agriculture	Shareholder
Pillen Family Farms Vet Services. Inc	3214 25th Street	Columbus, NE 68601	Veterinary Services	Owner
Pillen Family Farms, Inc.	3214 25th Street	Columbus, NE 68601	Agriculture	Shareholder
Pillen Holdings, LLC	3214 25th Street	Columbus, NE 68601	Agriculture	Shareholder
Platte Center West, LLC	3214 25th Street	Columbus, NE 68601	Agriculture	Shareholder
ProAir, Inc	419 West Judy Drive	Fremont, NE 68025	Airplane	Shareholder
TeamMates Foundation	6801 O Street	Lincoln, NE 68510-2422	Foundation	Officer/President
WholeStone Farms, LLC	324 Splitrock Blvd, Suite 205	Brandon, SD 57005	Agriculture	Shareholder

ATTACHMENT B

Parcel Id.	Owner Name	Tax Statement Legal Description	Nature of Property
710040943	James D. Pillen Revocable Trust	7-18-2W Acres: 37 NW SW, Platte County (rural Monroe)	Agriculture
710040950	James D. Pillen Revocable Trust	7-18-2W Acres: 117.04 E1/2 SW & SW SW, Platte County (rural Monroe)	Agriculture
710053256	James D. Pillen Revocable Trust	18-19-2W Acres: 36.2 NW SW, Platte County (rural Tarnov)	Agriculture
710057638	James D. Pillen Revocable Trust	19-19-4W Acres: 80 S1/2 SE, Platte County (37251 535th Avenue, St. Edward)	Agriculture
710045122	James D. Pillen Revocable Trust	12-18-3W Acres: 40 NW NE, Platte County (rural Monroe)	Agriculture
710045115	James D. Pillen Revocable Trust	12-18-3W Acres: 120 S1/2 NE & NE NE, Platte County (rural Monroe)	Agriculture
710041776	James D. Pillen Revocable Trust	18-18-2W Acres: 160 NE, Platte County (rural Monroe)	Agriculture
710057652	James D. Pillen Revocable Trust	19-19-4W Acres: 80 N1/2 SE, Platte County (rural St. Edward)	Agriculture
710040887	James D. Pillen Revocable Trust	6-18-2W Acres: 154.04 SW, Platte County (36369 325th Street, Monroe)	Agriculture
710135614	James D. Pillen Revocable Trust	20-20-1W Acres: 15.97 PT W1/2 NW, Platte County (26386 State Highway 91, Humphrey)	Agriculture
710135600	James D. Pillen Revocable Trust	20-20-1W Acres: 16.08 PT W1/2 NW, Platte County (26386 State Highway 91, Humphrey)	Agriculture
710040922	James Pillen, et al.	7-18-2W Acres: 127.79 W 1/2 NE & PT E 1/2 NW, Platte County (rural Platte Center)	Agriculture
6507517	James D. & Suzanne K. Pillen	Lot 66, Block 4, Blue River Run Townhomes, Colorado	Residential
0057130.00	James D. Pillen Revocable Trust	12-18-8W Acres: NW1/4, Boone County (rural Cedar Rapids)	Agriculture
710016856	James D. Pillen Revocable Trust	0-0-0 N1/2 NW1/4 SW1/4, Platte County (rural Columbus)	Agriculture
120031808	James D. Pillen Revocable Trust	21 15 1 N1/2NW1/4, SE1/4NW1/4 120 Ac. (rural Brainard)	Agriculture
57640 & 57660	James D. Pillen Revocable Trust	27 18 8 #57640 W 1/2 27-18-8 320 ACRES, MN 436 and 28 18 8 #57660 NE 1/4 28-18-8 160 ACRES, MN 438 (rural Cedar Rapids)	Agriculture