

NEBRASKA ACCOUNTABILITY AND DISCLOSURE COMMISSION 1225 L St., Suite 400 P.O. Box 95086 Lincoln, NE 68509 (402) 471-2522 www.nadc.nebraska.gov	STATEMENT OF FINANCIAL INTERESTS NADC FORM C-1	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%; padding: 2px;">POSTMARK DATE</td> <td style="width: 50%; text-align: center; padding: 2px;">3/17/19</td> </tr> <tr> <td style="padding: 2px;">MICROFILM NUMBER</td> <td style="padding: 2px;"></td> </tr> <tr> <td colspan="2" style="text-align: center; padding: 2px;">OFFICE USE ONLY</td> </tr> <tr> <td colspan="2" style="height: 100px; vertical-align: top; padding: 2px;"> 2021 MAR 19 AM 8:00 </td> </tr> </table>	POSTMARK DATE	3/17/19	MICROFILM NUMBER		OFFICE USE ONLY		2021 MAR 19 AM 8:00	
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OFFICE USE ONLY										
2021 MAR 19 AM 8:00										
BEFORE COMPLETING READ FILING REQUIREMENTS										

- Candidates for designated offices and holders of designated offices and positions must file this statement with the Commission. See Sections 1A and 1B of the instructions.
- Candidates (including incumbents) subject to this filing requirement must file this statement in order to have their names appear on the ballot. (See Instructions).
- Designated officeholders and holders of designated positions must file this statement with the Commission annually.
- Dollar values need not be report for any item, except Item 11.
- Persons who fail to file as required are subject to a civil penalty of up to \$2,000.

ITEM 1 YOUR NAME, ADDRESS AND PHONE NUMBER

Name	<u>CASSELL</u>	<u>SIOTT</u>	<u>LEWIS</u>	Telephone No.	<u>402.496.0711</u>
	LAST	FIRST	MIDDLE		
Address	<u>1317 N 141 ST AVE</u>			<u>OMAHA</u>	<u>NE 68154</u>
	STREET ADDRESS OR RURAL ROUTE			CITY	STATE ZIP CODE

ITEM 2 OCCASION FOR FILING (Check Appropriate Box)

☐ A candidate for elective office	☐ Left office or position
☒ Annual officeholder's or state employee's report	☐ Newly appointed to office or position

ITEM 3 OFFICE HELD & TERM OF OFFICE (Incumbent elected/appointed officials and state employees. See 1B of instructions)

List the office or position you currently hold which requires this filing. If you have left office, list the office you held.

Office or Position: <u>NEBRASKA GAME & PARKS COMMISSIONER</u>	Term: <u>1/16/18</u> <u>1/15/22</u>
	BEGINS ENDS
Name of City, County, District, or State Agency: <u>GAME & PARKS</u>	

ITEM 4 OFFICE SOUGHT (Candidates only. See 1A of instructions)

List the office sought which requires this filing.

Office: _____

Name of City, County, District, or State Office: _____

ITEM 5 PERIOD COVERED BY THIS STATEMENT

This statement must cover all financial interests for the entire "preceding calendar year" and not just as of year-end. If you have left office, this statement must cover all financial interests from the end of the calendar year for which you previously filed up to and including the date you left office.

☒ This statement covers the **preceding calendar year** January 1 through December 31, 2020

☐ Left office, this statement covers the period January 1, _____ to _____

(DATE YOU LEFT OFFICE OR POSITION)

ITEM 6 SOURCES OF INCOME OF OVER \$1,000

Income includes money or any other form of recompense constituting income under the Internal Revenue Code. (See definitions)

Name and address of any source* (including an individual, business, body of government, political subdivision or body corporate) from which income of over \$1,000 was received.

List the nature of the source's business and the nature of the services you rendered or the circumstances under which income was received. NOTE: Do not list the amount of the income.

List your Government position if you were paid more than \$1,000.

1.) KIEWIT CORPORATION
3555 FARNAM
OMAHA, NE 68131

1a.) EMPLOYEE

2.) US BANK
525 N 132ND ST
OMAHA, NE 68154

2a.) BANK - INTEREST INCOME

3.) PRODIGY ASSET MGMT
MORTON STANLEY
NORTHWESTERN MUTUAL3a.) INVESTMENT INCOME
(ASSET MANAGEMENT
WEALTH MANAGEMENT
FINANCIAL SERVICES)4.) BANK OF AMERICA
7404 NE 44TH ST
VAN LOUVE, WA 98685

4a.) BANK - INTEREST INCOME

*NOTE: IF INCOME RESULTED FROM EMPLOYMENT BY, OPERATION OF OR PARTICIPATION IN A PROPRIETORSHIP, PARTNERSHIP, CORPORATION OR OTHER PERSON, LIST THE SAME AS THE SOURCE OF INCOME, BUT NOT THE PATRONS, CUSTOMERS, PATIENTS, OR CLIENTS THEREOF.

ITEM 7 BUSINESSES WITH WHICH YOU ARE ASSOCIATED Including Non Profits (See definitions)

Name and address of all businesses, organizations, or associations (profit and non-profit) with which you held a position of officer, director, limited liability company member, partner, or stockholder and any entity in which you held a position of trustee. Such reporting is required based on the position held, not on whether income was received. You need not report business associations which are otherwise listed under Item 6.

Name and Address of Business or Organization.

Nature of Association

If you have nothing to report, write NONE

1.) KIEWIT CORPORATION
3555 FARNAM
OMAHA, NE 681311a.) EXECUTIVE VICE PRESIDENT
MEMBER OF BOARD OF DIRECTORS2.) AKSABEN
7101 MERCURY RD, SUITE 320
OMAHA, NE 681012a.) EXECUTIVE COMMITTEE MEMBER
MEMBER OF GOVERNING BOARD3.) WASHINGTON STATE UNIVERSITY
PULLMAN, WASHINGTON3a.) ADVISORY BOARD OF VOLUNTEERS
COUNCIL OF ENGINEERING ARCHITECTS

4.)

4a.)

5.)

5a.)

6.)

6a.)

7.)

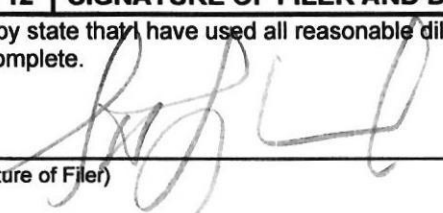
7a.)

ITEM 8	REAL PROPERTY OF THE FILER IN NEBRASKA (Real property valued at less than \$1,000 and your personal residence need <i>not</i> be reported.)	
List all real property in Nebraska in your name or in which you have a direct ownership interest. The description required must be sufficient to identify the location of the property. Exceptions: You need not report real property owned by a business listed in Item 6 or 7, your personal residence or real property valued at less than \$1,000. Personal residence refers to your principal dwelling-house and adjacent land used for house-hold purposes, such as lawns and gardens.		
Location of Property (Description or Address)		Nature of Property (such as: agricultural, commercial, industrial, residential-rental)
If you have nothing to report, write NONE		
1317 N 141 ST AVE OMAHA, NE 68154		PERSONAL RESIDENCE
8865 VERDON CIRCLE, BENEIK, NE		LAKE CABIN
633 COUNTY RD 5, VALLEY NE 68064		HUNTING CASIN
2378 COUNTRY RD 7, FREMONT NE		HUNTING AND FARM PROPERTS
3129 N 84 TH CIRCLE, OMAHA 68134		STORAGE WAREHOUSE
INTERSECTION ROAD N: D, YUTAN NE		HUNTING AND FARM PROPERTY
1303 N 136 TH AVE, OMAHA NE 68154		RESIDENTIAL LOT
ITEM 9	OTHER FINANCIAL INTERESTS AND PROPERTY HELD DURING THE PERIOD OF THIS STATEMENT WHICH EXCEEDED A FAIR MARKET VALUE OF \$1,000 AT ANY TIME DURING THE REPORTING PERIOD	
(a) List the names and addresses of the institutions in which you had checking and savings accounts and certificates of deposit.		
Financial Institution		Address
If you have nothing to report, write NONE		
US BANK		525 N 132 ND OMAHA, NE 68154
BANK OF AMERICA		7407 NE HWY 91, VANCOUVER WA 98665
(b) List the names of the issuers of all stocks, bonds, and government securities, not otherwise listed under Items 6 or 7.		
If you have nothing to report, write NONE		
PRODIGY ASSET MGMT		SEE ATTACHED
MORGAN STANLEY		"
NORTHWESTERN MUTUAL		"
KIEWIT CORPORATION		
(c) Describe other property owned or held for the production of income not otherwise disclosed in Items 6, 7, 8 or 9(a)(b). Include leaseholds and other interests in real estate, promissory notes and other obligations owed to you, beneficial interests in trusts and estates, cash value life insurance, IRAs, deferred income and retirement plans. Exception: Do not include accounts receivable, inventory, fixtures and equipment owned or used by a business listed in Items 6 & 7 or household goods, personal automobiles and other tangible personal property unless such property was held primarily for sale or exchange.		
If you have nothing to report, write NONE		

ITEM 10	CREDITORS TO WHOM \$1,000 OR MORE WAS OWED OR GUARANTEED BY YOU OR A MEMBER OF YOUR IMMEDIATE FAMILY.		
Exception: Loans from a relative and land contracts which have been recorded with the County Clerk or Register of Deeds need not be reported. Accounts payable, debts arising out of retail installment transactions or loans made by a financial institution in the ordinary course of business need not be reported.			
Name		Address	
If you have nothing to report, write NONE			
US BANK		525 N 132 ND ST, OMAHA NE 68154	

ITEM 11	SOURCES OF GIFTS OF A VALUE OF MORE THAN \$100 RECEIVED EXCEPT GIFTS FROM RELATIVES. (See definitions) If you have nothing to report, write NONE		
Name and address of Donor	Occupation or nature of business of Donor	Value of Gift (See Key Below)	Description of Gift and Circumstances or Occasion for Gift
If you have nothing to report, write NONE			
		Choose Value:	
		Choose Value:	
	NONE	Choose Value:	
		Choose Value:	
		Choose Value:	
		Choose Value:	
		Choose Value:	
		Choose Value:	
		Choose Value:	

The monetary value of each gift shall be categorized based on the good faith estimate of the filer. For each reported gift insert in the Value column the letter which corresponds to the value category of the gift. The value categories are:
 A) \$100.01 to \$200; B) \$200.01 to \$500; C) \$500.01 to \$1,000; D) \$1,000.01 or more.

ITEM 12	SIGNATURE OF FILER AND DATE.		
I hereby state that I have used all reasonable diligence in the preparation of this Statement and that to the best of my knowledge it is true and complete.			
 (Signature of Filer)		3.14.21 (Date)	

Criminal Penalties: Any person who files a statement knowing that information is false shall be guilty of a Class IV Felony, See §49-14,134, Nebraska Revised Statutes.

Morgan Stanley

WEALTH MANAGEMENT

John T. Belford
Portfolio Management Director
Senior Vice President-Wealth Management
Financial Advisor
NMLS #1255608

13625 California St, Suite 400
Omaha, NE 68154

Direct: 402 399 6154
Toll free: 800 228 3309

john.belford@morganstanley.com

March 15, 2021

Mr. Scott Cassels
1317 N 141st Street
Omaha, NE 68154

Dear Scott:

Per your request, the following is a list of all securities you owned during the 2020 calendar year at Morgan Stanley:

- **MONEY MARKET:**

BDPS MORGAN STANLEY BANK N.A.

- **STOCKS:**

ALPHABET INC CL C (GOOG)
BERKSHIRE HATHAWAY CL-B NEW (BRK'B)

- **EXCHANGE TRADED FUNDS:**

ARK INNOVATION ETF (ARKK)
FIRST TRUST LOW DURATION OPP (LMBS)
FIRST TRUST SENIOR LOAN FUND (FTSL)
FT-PREFERRED SECUR & INC ETF (FPE)
ISHARES GLOBAL TECH ETF (IXN)
VANGUARD DIVIDEND APPRECIATION (VIG)
VANGUARD EMERGING MARKETS BD (VWO)

- **MUTUAL FUNDS:**

AB HIGH INCOME ADV (AGDYX)
AMER BEACON TWNTYFR STR INC Y (TFGYX)
BLACKROCK LIQ TREASURY TR INST (TTTXX)

- **BONDS:**

GENERAL ELECTRIC CO Coupon 5.00% Mature 07/15/2023 (36966R7A8)

GENERAL ELECTRIC CO Coupon 4.35% Mature 09/15/2027 (36966TDE9)

- **SECURITIES SOLD IN 2020:**

CENTRAIS ELEC BRAS ADR PREF (EBR'B)

FIRST EAGLE GLOBAL (SGIIX)

GQG PARTNERS EMERGING MARKET (GQGIX)

ISHARES EXPONENTIAL TECH ETF (XT)

ISHARES GLOBAL ENERGY ETF (IXC)

ISHARES MSCI BRAZIL ETF (EWZ)

KRANESHARES CSI CHINA INTERNET (KWEB)

OAKMARK INTERNATIONAL ADVISOR (OAYIX)

VANGUARD INT DIVD APP INDEX FUND (VIGI)

VIRTUS VONTOBEL EMERG MARKET OPP (HIEMX)

FIRST TRUST SENIOR LOAN FUND (FTSL)

If you have any questions, please do not hesitate to contact us.

Best regards,



John Belford

Portfolio Management Director

Senior Vice President-Wealth Management

Financial Advisor

The information and data contained herein is from sources considered reliable, but their accuracy and completeness is not guaranteed. This report has been prepared for illustrative purposes only and is not intended to be used as a substitute for account statements provided on a regular basis from Morgan Stanley Smith Barney LLC, and data in this report should be compared carefully with account statements to verify its accuracy. The Firm strongly encourages clients to consult with their own accountants or other advisors with respect to any tax questions. This report is being provided as a courtesy. By providing this report, we do not represent or agree that we will monitor the investments in your account(s) or deliver future reports.

March 15, 2021

To Whom it May Concern:

Please see the list below of mutual funds owned at any time in 2020 by Scott and Karla Cassels in their account custodied at Charles Schwab & Co., Inc.

- DFA US Core 1
- Edgewood Growth Fd
- GMO Quality Fund
- Invesco Equal-Wtd S&P 500
- Shapiro Eq Opp Fund
- Vanguard Total Mkt Idx Adm
- Artisan Intl Institu
- DFA Emerging Mkts Core Eq Portfolio
- DFA International Core Equity I
- Dodge & Cox Intl Stock
- GQG Global Equity Fund
- GQG Intl Equity Fund
- Lazard Emerg Mkts Equity Inst
- Dodge & Cox Income
- MetWest Tot Ret Fd
- PIMCO Total Ret Fd
- Schwab Bank Deposit
- Schwab Government Money Fd
- Schwab Govt Sweep

Regards,



Philip J. Ruden
Managing Partner



Northwestern Mutual

HARRISON FINANCIAL SERVICES

To the Nebraska Game & Parks Commission,

The following list constitutes all investment holdings of Scott Cassels with Harrison Financial Services for the calendar year 2020.

- EFAV - iShares Tr MSCI EAFE Minimum Volatility
- IJH - iShares Tr Core S&P Mid-Cap
- IJR - iShares Tr Core S&P Small-Cap
- IWD - iShares TR Russell 1000 Value
- IWF - iShares TR Russell 1000 Growth
- USMV - iShares Tr MSCI USA Minimum Volatility
- VEA - Vanguard Intl Fd FTSE Developed Mkts
- VLUE - iShares Tr MSCI USA Value Factor
- VWO - Vanguard Intl Equity Index FDS FTSE Emerging Mkts
- AUNYX - AB Municipal Bond Inflation Strategy
- DBCMX - DoubleLine Strategic Commodity
- CRSOX - Credit Suisse Commodity Return Strategy
- DODIX - Dodge & Cox Income
- FHEIX - Fidelity Advisor Real Estate
- FNTYX - Nuveen Nebraska Tax-Free
- HLGAX - JP Morgan Government Bond
- PMUPX - Pimco Municipal Bond
- PONPX - Pimco Income
- ISIWX - Voya Strategic Income Opportunities
- MAIIX - BlackRock iShares MSCI EAFE International Index
- MAMTX - BlackRock Strategic Municipal Opportunities
- MPSIX - Principal Midcap S&P 400 Index
- NOINX - Northern Intl Equity Index
- PSSIX - Principal Smallcap S&P 600 Index
- SDGIX - BNY Mellon Global Fixed Income
- SKIRX - DWS Enhanced Commodity Strategy
- TEAFX - The Tax-Exempt Bond Fund Of America
- TEQHX - TIAA-CREF Emerging Markets Equity Index
- THCVX - TIAA-CREF Large-Cap Value Index
- TRIHX - TIAA-CREF Large-Cap Growth Index
- NMFD - Northwestern Mutual FDIC Insured Money Market Program

Tim J. Harrison

Founder and CEO

tim.harrison@nm.com

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Omaha, NE 68114

P 402.891.2302

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hfs@nm.com

Dan McCoy, MBA, CFP®, ChFC®, CLU® | Chief Operating Officer | Harrison Financial Services

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