

NEBRASKA ACCOUNTABILITY AND DISCLOSURE COMMISSION 1225 L Street, Suite 400 P.O. Box 95086 Lincoln, NE 68509 (402) 471-2522 www.nadc.nebraska.gov	STATEMENT OF FINANCIAL INTERESTS NADC FORM C-1	POSTMARK DATE	
		MICROFILM NUMBER	
		OFFICE USE ONLY	
		Amended On: 8/14/2021 11:40:00 AM	
BEFORE COMPLETING READ FILING REQUIREMENTS			

- Candidates for designated offices and holders of designated offices and positions must file this statement. See Sections 1A and 1B of the instructions.
- Candidates (including incumbents) subject to this filing requirement must file with the Commission and with the appropriate election official (See Instructions).
- Designated officeholders and holders of designated positions must file this statement with the Commission annually.
- Dollar values need not be report for any item, except Item 11.
- Persons who fail to file as required are subject to a civil penalty of up to \$2,000.

ITEM 1	YOUR NAME, ADDRESS AND PHONE NUMBER				
Name	SVINGEN		DAVID	MICHAEL	Telephone No (402) 598-2992
	LAST	FIRST	MIDDLE		
Address	16566 VALLEY CIR		OMAHA	NE	68130
	STREET ADDRESS		CITY	STATE	ZIP CODE

ITEM 2	OCCASION FOR FILING
Left office or position	

ITEM 3	OFFICE HELD & TERM OF OFFICE (Incumbent elected/appointed officials and state/other employees. See IB of instructions)			
List the office or position you currently hold which requires this filing. If you have left office, list the office you held.				
Office or Position: BOARD MEMBER		Term:	3/13/2009	7/23/2021
			BEGINS	ENDS
Name of City, County, District, or State Agency:		NEBRASKA BOARD OF GEOLOGISTS		

ITEM 4	OFFICE SOUGHT (Candidates only. See 1A of instructions)
List the office sought which requires this filing.	

ITEM 5	PERIOD COVERED BY THIS STATEMENT
This statement must cover all financial interests for the entire "preceding calendar year" and not just as of year-end. If you have left office, this statement must cover all financial interests from the end of the calendar year for which you previously filed up to and including the date you left office.	
Left office, this statement covers the period January 1,2020 to July 23, 2021	

ITEM 6	SOURCES OF INCOME OF OVER \$1,000		
Income includes money or any other form of recompense constituting income under the Internal Revenue Code. (See definitions)			
Name and address of any source* (including an individual, business, body of government, political subdivision or body corporate) from which income of over \$1,000 was received.		List the nature of the source's business and the nature of the services you rendered or the circumstances under which income was received. NOTE: Do not list the amount of the income.	
List your Government position if you were paid more than \$1,000.			
VANGUARD GROUP	P.O. BOX 982901, EL PASO, TX 79998	INVESTMENT ADVICE AND MANAGEMENT	DIVIDEND INCOME, AND CAPITAL GAINS AND LOSSES
JULIANNE SVINGEN DBA SVINGEN SPEECH THERAPY SERVICES	16566 VALLEY CIR, OMAHA, NE 68130	SPEECH THERAPY	SPEECH THERAPY
TERRACON CONSULTANTS, INC.	10841 S RIDGEVIEW RD, OLATHE, KS 66061	CONSULTING ENGINEERING	CONSULTING
FIRST NATIONAL BANK OF OMAHA, AND FNBO DIRECT	1620 DODGE STREET, OMAHA, NE 68197	BANK	INTEREST INCOME
TT COMPANIES	10841 S. RIDGEVIEW ROAD, OLATHE, KS 66061	CONSULTING ENGINEERING	INTEREST INCOME, AND CAPITAL GAINS AND LOSSES
RAYMOND JAMES FINANCIAL, INC.	880 CARILLON PARKWAY, ST. PETERSBURG, FL 33716	INVESTMENT ADVICE AND MANAGEMENT	DIVIDEND INCOME, AND CAPITAL GAINS AND LOSSES
*NOTE: IF INCOME RESULTED FROM EMPLOYMENT BY, OPERATION OF OR PARTICIPATION IN A PROPRIETORSHIP, PARTNERSHIP, CORPORATION OR OTHER PERSON, LIST THE SAME AS THE SOURCE OF INCOME, BUT NOT THE PATRONS, CUSTOMERS, PATIENTS, OR CLIENTS THEREOF.			
ITEM 7	BUSINESSES WITH WHICH YOU ARE ASSOCIATED Including Non Profits (See definitions)		
Name and address of all businesses, organizations, or associations (profit and non-profit) with which you held a position of officer, director, limited liability company member, partner, or stockholder and any entity in which you held a position of trustee. Such reporting is required based on the position held, not on whether income was received. You need not report business associations which are otherwise listed under Item 6.			
Name and Address of Business or Organization.		Nature of Association	
If you have nothing to report, write NONE			
SVINGEN FAMILY TRUST, 16566 VALLEY CIR, OMAHA, NE 68130		TRUSTEE	
THE DAVID M. SVINGEN AND JULIANNE P. SVINGEN REVOCABLE LIVING TRUST, 16566 VALLEY CIR, OMAHA, NE 68130		TRUSTEE	
NATIONAL ASSOCIATION OF STATE BOARDS OF GEOLOGY, 6000 STEWART PARKWAY, PO BOX 5219, DOUGLASVILLE, GA 30154		OFFICER	
ITEM 8	REAL PROPERTY OF THE FILER IN NEBRASKA (Real property valued at less than \$1,000 and your personal residence need not be reported.)		
List all real property in your name or in which you have a direct ownership interest. The description required must be sufficient to identify the location of the property. Exceptions: You need not report real estate owned by a business listed in Item 6 or 7, your personal residence or real property valued at less than \$1,000. Personal residence refers to your principal dwelling-house and adjacent land used for house-hold purposes, such as lawns and gardens.			
Location of Property (Description or Address)		Nature of Property (such as: agricultural, commercial, industrial, residential-rental)	
If you have nothing to report, write NONE			
NONE			
ITEM 9	OTHER FINANCIAL INTERESTS AND PROPERTY HELD DURING THE PERIOD OF THIS STATEMENT WHICH EXCEEDED A FAIR MARKET VALUE OF \$1,000 AT ANY TIME DURING THE REPORTING PERIOD		
(a) List the names and addresses of the institutions in which you had checking and savings accounts and certificates of deposit.			
Financial Institution		Address	
If you have nothing to report, write NONE			
FNBO DIRECT		P.O. BOX 3707, OMAHA, NE 68103	
FIRST NATIONAL BANK OF OMAHA		1620 DODGE STREET, OMAHA, NE 68197	

(b) List the names of the issuers of all stocks, bonds, and government securities, not otherwise listed under Items 6 or 7.
If you have nothing to report, write NONE
VANGUARD ACCOUNT
RAYMOND JAMES ACCOUNT
(c) Describe other property owned or held for the production of income not otherwise disclosed in Items 6, 7, 8 or 9(a)(b). Include leaseholds and other interests in real estate, promissory notes and other obligations owed to you, beneficial interests in trusts and estates, cash value life insurance, IRAs, deferred income and retirement plans. Exception: Do not include accounts receivable, inventory, fixtures and equipment owned or used by a business listed in Items 6 & 7 or household goods, personal automobiles and other tangible personal property unless such property was held primarily for sale or exchange.
If you have nothing to report, write NONE
NORTHWESTERN MUTUAL LIFE INSURANCE CASH VALUE
RAYMOND JAMES BENEFICIARY IRA (DAVID)
SCHWAB ACCOUNT (PRINCIPALS SUPPLEMENTAL RETIREMENT PLAN)
PATHFINDER IRA
TERRACON PROMISSORY NOTES
VANGUARD INDIVIDUAL 401K (DAVID)
RAYMOND JAMES IRA (DAVID)
PATHFINDER ROTH 401K

ITEM 10	CREDITORS TO WHOM \$1,000 OR MORE WAS OWED OR GUARANTEED BY YOU OR A MEMBER OF YOUR IMMEDIATE FAMILY.		
Exception: Loans from a relative and land contracts which have been recorded with the County Clerk or Register of Deeds need not be reported. Accounts payable, debts arising out of retail installment transactions or loans made by a financial institution in the ordinary course of business need not be reported.			
Name		Address	
If you have nothing to report, write NONE			
NONE			
ITEM 11	SOURCES OF GIFTS OF A VALUE OF MORE THAN \$100 RECEIVED EXCEPT GIFTS FROM RELATIVES. (See definitions) If you have nothing to report, write NONE		
Name and address of Donor	Occupation or nature of business of Donor	Value of Gift	Description of Gift and Circumstances or Occasion for Gift
If you have nothing to report, write NONE			
NONE			
The monetary value of each gift shall be categorized based on the good faith estimate of the filer.			
ITEM 12	SIGNATURE OF FILER AND DATE.		
I hereby state that I have used all reasonable diligence in the preparation of this Statement and that to the best of my knowledge it is true and complete.			
DAVID M. SVINGEN		8/14/2021	
(Signature of Filer)		(Date)	

Criminal Penalties: Any person who files a statement knowing that information is false shall be guilty of a Class IV Felony, See §49-14,134, Nebraska Revised Statutes.