

NEBRASKA
ACCOUNTABILITY AND
DISCLOSURE COMMISSION
1225 L St., Suite 400
P.O. Box 95086
Lincoln, NE 68509
(402) 471-2522
www.nadc.nebraska.gov

BEFORE COMPLETING
READ FILING REQUIREMENTS

STATEMENT OF FINANCIAL INTERESTS

NADC FORM C-1

POSTMARK
DATE

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NUMBER

OFFICE USE ONLY

- Candidates for designated offices and holders of designated offices and positions must file this statement with the Commission. See Sections 1A and 1B of the instructions.
- Candidates (including incumbents) subject to this filing requirement must file this statement in order to have their names appear on the ballot. (See Instructions).
- Designated officeholders and holders of designated positions must file this statement with the Commission annually.
- Dollar values need not be report for any item, except Item 11.
- Persons who fail to file as required are subject to a civil penalty of up to \$2,000.

ITEM 1 YOUR NAME, ADDRESS AND PHONE NUMBER

Name Brohman Mark A. Telephone No. 402-525-1504
LAST FIRST MIDDLE
Address 2637 Washington Street Lincoln NE 68502
STREET ADDRESS OR RURAL ROUTE CITY STATE ZIP CODE

ITEM 2 OCCASION FOR FILING (Check Appropriate Box)

- ☐ A candidate for elective office ☒ Left office or position
☐ Annual officeholder's or state employee's report ☐ Newly appointed to office or position

ITEM 3 OFFICE HELD & TERM OF OFFICE (Incumbent elected/appointed officials and state employees. See 1B of instructions)

List the office or position you currently hold which requires this filing. If you have left office, list the office you held.
Office or Position: Executive Director Term: 12/2006 11/10/2021
BEGINS ENDS
Name of City, County, District, or State Agency: Nebraska Environmental Trust

ITEM 4 OFFICE SOUGHT (Candidates only. See 1A of instructions)

List the office sought which requires this filing.

Office: _____
Name of City, County, District, or State Office: _____

ITEM 5 PERIOD COVERED BY THIS STATEMENT

This statement must cover all financial interests for the entire "preceding calendar year" and not just as of year-end. If you have left office, this statement must cover all financial interests from the end of the calendar year for which you previously filed up to and including the date you left office.

- ☐ This statement covers the preceding calendar year January 1 through December 31, _____
☒ Left office, this statement covers the period January 1, 2020 to November 10, 2021
(DATE YOU LEFT OFFICE OR POSITION)

ITEM 6 SOURCES OF INCOME OF OVER \$1,000

Income includes money or any other form of recompense constituting income under the Internal Revenue Code. (See definitions)

Name and address of any source* (including an individual, business, body of government, political subdivision or body corporate) from which income of over \$1,000 was received.

List the nature of the source's business and the nature of the services you rendered or the circumstances under which income was received. NOTE: Do not list the amount of the income.

List your Government position if you were paid more than \$1,000.

1.) State of NE, Nebraska Environmental Trust

1a.) Executive Director of Nebraska Environmental Trust compensation (salary)

2.)

2a.)

3.)

3a.)

4.)

4a.)

*NOTE: IF INCOME RESULTED FROM EMPLOYMENT BY, OPERATION OF OR PARTICIPATION IN A PROPRIETORSHIP, PARTNERSHIP, CORPORATION OR OTHER PERSON, LIST THE SAME AS THE SOURCE OF INCOME, BUT NOT THE PATRONS, CUSTOMERS, PATIENTS, OR CLIENTS THEREOF.

ITEM 7 BUSINESSES WITH WHICH YOU ARE ASSOCIATED Including Non Profits (See definitions)

Name and address of all businesses, organizations, or associations (profit and non-profit) with which you held a position of officer, director, limited liability company member, partner, or stockholder and any entity in which you held a position of trustee. Such reporting is required based on the position held, not on whether income was received. You need not report business associations which are otherwise listed under Item 6.

Name and Address of Business or Organization.

Nature of Association

If you have nothing to report, write NONE

1.) Back-To-The-River, Inc.

1a.) Secretary of the Board of Directors

P.O. Box 3802

Omaha, NE 68103

2.) Chadrons State College Foundation

2a.) Trustee

1000 Main Street

Chadron, NE 69337

3.) Friends of Whitehall Mansion

3a.) Board Member

2320 N. 57th Street

Lincoln, NE 68507

4.)

4a.)

5.)

5a.)

6.)

6a.)

7.)

7a.)

ITEM 8	REAL PROPERTY OF THE FILER IN NEBRASKA (Real property valued at less than \$1,000 and your personal residence need <i>not</i> be reported.)
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List all real property in Nebraska in your name or in which you have a direct ownership interest. The description required must be sufficient to identify the location of the property. Exceptions: You need **not** report real property owned by a business listed in Item 6 or 7, your personal residence or real property valued at less than \$1,000. Personal residence refers to your principal dwelling-house and adjacent land used for house-hold purposes, such as lawns and gardens.

Location of Property (Description or Address)	Nature of Property (such as: agricultural, commercial, industrial, residential-rental)
If you have nothing to report, write NONE	
624 S. 42nd Street, Lincoln, NE 68510	Residential Rental Property
820 Hollywood Ave., Lincoln, NE 68504	Residential Rental Property

ITEM 9	OTHER FINANCIAL INTERESTS AND PROPERTY HELD DURING THE PERIOD OF THIS STATEMENT WHICH EXCEEDED A FAIR MARKET VALUE OF \$1,000 AT ANY TIME DURING THE REPORTING PERIOD
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(a) List the names and addresses of the institutions in which you had checking and savings accounts and certificates of deposit.

Financial Institution	Address
If you have nothing to report, write NONE	
Great Western Bank	1235 N Street, Lincoln, NE 68503
Liberty First Credit Union	501 N. 46th Street, Lincoln, NE 68503

(b) List the names of the issuers of all stocks, bonds, and government securities, not otherwise listed under Items 6 or 7.

If you have nothing to report, write NONE

D.A. Davidson and Co., 10250 Regency Circle Suite 400, Omaha, NE 68114. AM Security, Annaly Capital, Berkshire Hathaway Inc., Biolife Remedies Inc., Bristol Myers Squibb, Cabelas Inc, Centurylink Inc., Dell Tech. Inc., HCP Inc., IBM Corp., Komatsu Ltd., Nokia Corp., Oneok Inc., Whirlpool Corp., Pfizer Inc., Quality Care Properties, Union Pacific, Verizon, Vodafone Group, and Sirius Radio Corp. I still control my minor daughter's (Miranda) UTMA with SagePoint portfolio. SagePoint Financial, Inc., 2800 Central Avenue, Suite 2100, Phoenix AZ 85004. Portfolio includes: Deutsche Telekom, Berkshire Hathaway Hldg CO, Centurylink Inc., Nelnet Inc., Niocorp Ltd., Sirius XM Inc., and Walgreens Inc.

(c) Describe other property owned or held for the production of income not otherwise disclosed in Items 6, 7, 8 or 9(a)(b). Include leaseholds and other interests in real estate, promissory notes and other obligations owed to you, beneficial interests in trusts and estates, cash value life insurance, IRAs, deferred income and retirement plans. Exception: Do **not** include accounts receivable, inventory, fixtures and equipment owned or used by a business listed in Items 6 & 7 or household goods, personal automobiles and other tangible personal property unless such property was held **primarily** for sale or exchange.

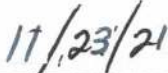
If you have nothing to report, write NONE

State of Nebraska Defferred Compensation account and retirement account, 401k account with D.A. Davidson, Omaha.

ITEM 10	CREDITORS TO WHOM \$1,000 OR MORE WAS OWED OR GUARANTEED BY YOU OR A MEMBER OF YOUR IMMEDIATE FAMILY.		
Exception: Loans from a relative and land contracts which have been recorded with the County Clerk or Register of Deeds need not be reported. Accounts payable, debts arising out of retail installment transactions or loans made by a financial institution in the ordinary course of business need not be reported.			
Name		Address	
If you have nothing to report, write NONE			
NONE			

ITEM 11	SOURCES OF GIFTS OF A VALUE OF MORE THAN \$100 RECEIVED EXCEPT GIFTS FROM RELATIVES. (See definitions) If you have nothing to report, write NONE		
Name and address of Donor	Occupation or nature of business of Donor	Value of Gift (See Key Below)	Description of Gift and Circumstances or Occasion for Gift
If you have nothing to report, write NONE			
NONE		Choose Value:	
		Choose Value:	
		Choose Value:	
		Choose Value:	
		Choose Value:	
		Choose Value:	
		Choose Value:	
		Choose Value:	

The monetary value of each gift shall be categorized based on the good faith estimate of the filer. For each reported gift insert in the Value column the letter which corresponds to the value category of the gift. The value categories are:
 A) \$100.01 to \$200; B) \$200.01 to \$500; C) \$500.01 to \$1,000; D) \$1,000.01 or more.

ITEM 12	SIGNATURE OF FILER AND DATE.		
I hereby state that I have used all reasonable diligence in the preparation of this Statement and that to the best of my knowledge it is true and complete.			
			
(Signature of Filer)		(Date)	

Criminal Penalties: Any person who files a statement knowing that information is false shall be guilty of a Class IV Felony, See §49-14,134, Nebraska Revised Statutes.

From: Mark Brohman <mbrohman2004@yahoo.com>

Sent: 2021 Nov 24 4:47 PM

To: NADC <NADC@nebraska.gov>

Subject: Re: Leaving Office Filing

I agree. Thank you for catching that.
Mark

[Sent from Yahoo Mail for iPhone](#)

On Wednesday, November 24, 2021, 4:45 PM, NADC <NADC@nebraska.gov> wrote:

Mark,

It appears you intended this C-1 to cover this calendar year January 1, 2021 through November 10, 2021.

Please respond that you agree or disagree.

David Hunter
Deputy Director
Nebraska Accountability and Disclosure Commission
P.O. Box 95086
Lincoln, NE 68509
402.471.2522
dave.hunter@nebraska.gov

NADC Office Location: 1225 L Street, Suite 400

From: Mark Brohman <mbrohman2004@yahoo.com>

Sent: 2021 Nov 24 2:26 PM

To: NADC <NADC@nebraska.gov>

Subject: Leaving Office Filing

Attached is my FORM C-1, Left Office filing.

Please let me know if you need anything else from me.

Thank you,

Mark A. Brohman

402-525-1504