

NEBRASKA ACCOUNTABILITY AND DISCLOSURE COMMISSION 1225 L St., Suite 400 P.O. Box 95086 Lincoln, NE 68509 (402) 471-2522 www.nadc.nebraska.gov	STATEMENT OF FINANCIAL INTERESTS NADC FORM C-1	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%; padding: 2px;">POSTMARK DATE</td> <td style="width: 50%; text-align: center; padding: 2px;"></td> </tr> <tr> <td style="padding: 2px;">MICROFILM NUMBER</td> <td style="padding: 2px;"></td> </tr> <tr> <td colspan="2" style="text-align: center; padding: 5px;">OFFICE USE ONLY</td> </tr> <tr> <td colspan="2" style="text-align: center; padding: 10px;"> JAN 06 2022 NEBRASKA ACCOUNTABILITY and DISCLOSURE COMMISSION </td> </tr> </table>	POSTMARK DATE		MICROFILM NUMBER		OFFICE USE ONLY		 JAN 06 2022 NEBRASKA ACCOUNTABILITY and DISCLOSURE COMMISSION	
POSTMARK DATE										
MICROFILM NUMBER										
OFFICE USE ONLY										
 JAN 06 2022 NEBRASKA ACCOUNTABILITY and DISCLOSURE COMMISSION										
BEFORE COMPLETING READ FILING REQUIREMENTS										

- Candidates for designated offices and holders of designated offices and positions must file this statement with the Commission. See Sections 1A and 1B of the instructions.
- Candidates (including incumbents) subject to this filing requirement must file this statement in order to have their names appear on the ballot. (See Instructions).
- Designated officeholders and holders of designated positions must file this statement with the Commission annually.
- Dollar values need not be report for any item, except Item 11.
- Persons who fail to file as required are subject to a civil penalty of up to \$2,000.

ITEM 1	YOUR NAME, ADDRESS AND PHONE NUMBER					
Name	<u>Dunning</u>	<u>Eric</u>	<u>B</u>	Telephone No.	<u>4028715213</u>	
	LAST	FIRST	MIDDLE			
Address	<u>1625 N. 53rd Street</u>			<u>Omaha</u>	<u>NE</u>	<u>68104</u>
	STREET ADDRESS OR RURAL ROUTE			CITY	STATE	ZIP CODE

ITEM 2	OCCASION FOR FILING (Check Appropriate Box)	
☐ A candidate for elective office ☐ Left office or position ☒ Annual officeholder's or state employee's report ☐ Newly appointed to office or position		

ITEM 3	OFFICE HELD & TERM OF OFFICE (Incumbent elected/appointed officials and state employees. See 1B of instructions)	
List the office or position you currently hold which requires this filing. If you have left office, list the office you held.		
Office or Position: <u>Director of Insurance</u>		Term: <u>4-19-21</u> <u>At will</u>
		BEGINS ENDS
Name of City, County, District, or State Agency: <u>Department of Insurance</u>		

ITEM 4	OFFICE SOUGHT (Candidates only. See 1A of instructions)
List the office sought which requires this filing.	
Office: _____	
Name of City, County, District, or State Office: _____	

ITEM 5	PERIOD COVERED BY THIS STATEMENT
This statement must cover all financial interests for the entire " preceding calendar year " and not just as of year-end. If you have left office, this statement must cover all financial interests from the end of the calendar year for which you previously filed up to and including the date you left office.	
☒ This statement covers the preceding calendar year January 1 through December 31, <u>2021</u>	
☐ Left office, this statement covers the period January 1, _____ to _____ (DATE YOU LEFT OFFICE OR POSITION)	

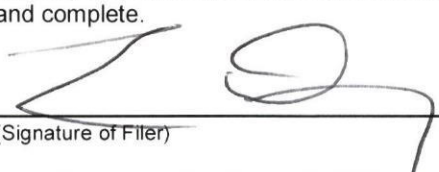
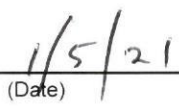
ITEM 6 SOURCES OF INCOME OF OVER \$1,000	
Income includes money or any other form of recompense constituting income under the Internal Revenue Code. (See definitions)	
Name and address of any source* (including an individual, business, body of government, political subdivision or body corporate) from which income of over \$1,000 was received.	List the nature of the source's business and the nature of the services you rendered or the circumstances under which income was received. NOTE: Do not list the amount of the income.
List your Government position if you were paid more than \$1,000.	
1.) Department of Insurance 1526 K St, Suite 200 Lincoln, Nebraska 68508	1a.) Government Agency Director
2.) Blue Cross and Blue Shield of Nebraska 1919 Aksarben Dr Omaha, NE 68180	2a.) Health Insurer Government Relations
3.) LPL Holdings 13620 NFM 620, Bulding C, Suite 200 Austin, TX 78717	3a.) Investment Passive Income
4.) Cohen Squared LLC 5307 Izard St. Omaha, NE 68132	4a.) Wife's separate property No income for filer
*NOTE: IF INCOME RESULTED FROM EMPLOYMENT BY, OPERATION OF OR PARTICIPATION IN A PROPRIETORSHIP, PARTNERSHIP, CORPORATION OR OTHER PERSON, LIST THE SAME AS THE SOURCE OF INCOME, BUT NOT THE PATRONS, CUSTOMERS, PATIENTS, OR CLIENTS THEREOF.	
ITEM 7 BUSINESSES WITH WHICH YOU ARE ASSOCIATED Including Non Profits (See definitions)	
Name and address of all businesses, organizations, or associations (profit and non-profit) with which you held a position of officer, director, limited liability company member, partner, or stockholder and any entity in which you held a position of trustee. Such reporting is required based on the position held, not on whether income was received. You need not report business associations which are otherwise listed under Item 6.	
Name and Address of Business or Organization.	Nature of Association
If you have nothing to report, write NONE	
1.) Cohen Squared LLC 5307 Izard St Omaha NE 68132	1a.) Wife's separate property, no income for filer
2.) NebraskaLand Foundation PO Box 94961 Lincoln NE 68509	2a.) Treasurer, non-profit, officer and board work- non paid
3.) Interstate Insurance Products Regulation Commission 444 N. Capitol St., Suite 701 Washington DC 20001	3a.) Vice Chair Non paid Insurance Regulatory Compact
4.) National Association of Insurance Commissioners 1100 Walnut Suite 1500 Kanasas City, MO 64016	4a.) Member- non-paid
5.)	5a.)
6.)	6a.)
7.)	7a.)

ITEM 8	REAL PROPERTY OF THE FILER IN NEBRASKA (Real property valued at less than \$1,000 and your personal residence need <i>not</i> be reported.)	
List all real property in Nebraska in your name or in which you have a direct ownership interest. The description required must be sufficient to identify the location of the property. Exceptions: You need not report real property owned by a business listed in Item 6 or 7, your personal residence or real property valued at less than \$1,000. Personal residence refers to your principal dwelling-house and adjacent land used for house-hold purposes, such as lawns and gardens.		
Location of Property (Description or Address)		Nature of Property (such as: agricultural, commercial, industrial, residential-rental)
If you have nothing to report, write NONE		
49828 823 rd Road Spalding, NE 68665		Agricultural/residential
ITEM 9	OTHER FINANCIAL INTERESTS AND PROPERTY HELD DURING THE PERIOD OF THIS STATEMENT WHICH EXCEEDED A FAIR MARKET VALUE OF \$1,000 AT ANY TIME DURING THE REPORTING PERIOD	
(a) List the names and addresses of the institutions in which you had checking and savings accounts and certificates of deposit.		
Financial Institution		Address
If you have nothing to report, write NONE		
US Bank Core Bank		800 Nicolett Mall, MNPLS, MN 55402 17807 Burke St, Omaha NE 68118
(b) List the names of the issuers of all stocks, bonds, and government securities, not otherwise listed under Items 6 or 7.		
If you have nothing to report, write NONE		
Disney; Canadian Pacific; Banco Santander; Pembina Pipeline; Lumen Technogoies; SFL Corporation		
(c) Describe other property owned or held for the production of income not otherwise disclosed in Items 6, 7, 8 or 9(a)(b). Include leaseholds and other interests in real estate, promissory notes and other obligations owed to you, beneficial interests in trusts and estates, cash value life insurance, IRAs, deferred income and retirement plans. Exception: Do not include accounts receivable, inventory, fixtures and equipment owned or used by a business listed in Items 6 & 7 or household goods, personal automobiles and other tangible personal property unless such property was held primarily for sale or exchange.		
If you have nothing to report, write NONE		
529 held by State Treasurer; Retirement Account held by Nebraska REtirement System; Retirement Account Held by Prudential, Northwestern Mutual Life (Wiefe's cash value life insurance)		

ITEM 10	CREDITORS TO WHOM \$1,000 OR MORE WAS OWED OR GUARANTEED BY YOU OR A MEMBER OF YOUR IMMEDIATE FAMILY.		
Exception: Loans from a relative and land contracts which have been recorded with the County Clerk or Register of Deeds need not be reported. Accounts payable, debts arising out of retail installment transactions or loans made by a financial institution in the ordinary course of business need not be reported.			
Name		Address	
If you have nothing to report, write NONE			
None			

ITEM 11	SOURCES OF GIFTS OF A VALUE OF MORE THAN \$100 RECEIVED EXCEPT GIFTS FROM RELATIVES. (See definitions) If you have nothing to report, write NONE		
Name and address of Donor	Occupation or nature of business of Donor	Value of Gift (See Key Below)	Description of Gift and Circumstances or Occasion for Gift
If you have nothing to report, write NONE			
		Choose Value:	
		Choose Value:	
		Choose Value:	
		Choose Value:	
		Choose Value:	
		Choose Value:	
		Choose Value:	
		Choose Value:	

The monetary value of each gift shall be categorized based on the good faith estimate of the filer. For each reported gift insert in the Value column the letter which corresponds to the value category of the gift. The value categories are:
 A) \$100.01 to \$200; B) \$200.01 to \$500; C) \$500.01 to \$1,000; D) \$1,000.01 or more.

ITEM 12	SIGNATURE OF FILER AND DATE.		
I hereby state that I have used all reasonable diligence in the preparation of this Statement and that to the best of my knowledge it is true and complete.			
			
(Signature of Filer)		(Date)	

Criminal Penalties: Any person who files a statement knowing that information is false shall be guilty of a Class IV Felony, See §49-14,134, Nebraska Revised Statutes.

Eric Dunning
Director, Nebraska Department of Insurance

Business Expenses Paid Other Than By State of Nebraska
Reporting Period: January-December, 2021

Organization/Location	Date	Purpose	Amount
National Association of Insurance Commissioners (NAIC) Kansas City, MO	April 20	Lunch paid for by NAIC for state insurance directors participating in a lunch/learn onboarding zoom meeting for newly appointed insurance commissioners and the NAIC executive branch.	\$25.00
National Association of Insurance Commissioners (NAIC) Kansas City, MO	June 15	Lunch paid for by NAIC for state insurance directors participating in a lunch/learn onboarding zoom meeting for newly appointed insurance commissioners and the NAIC executive branch.	\$25.00
National Association of Insurance Commissioners (NAIC) Des Moines, IA	June 28-30	All expenses paid by NAIC for state insurance directors/commissioners to attend and participate in the annual Global Insurance Symposium.	\$953.42
National Association of Insurance Commissioners (NAIC) Olympic Valley, CA	July 12-15	All expenses paid by NAIC for state insurance directors/commissioners to participate in the Annual Mid-Year Insurance Commissioner Roundtable and Executive Session to discuss topics of common interest among the states.	\$1793.41
National Association of Insurance Commissioners (NAIC) Columbus, OH	August 12-17	All expenses paid by NAIC for state insurance directors/commissioners to participate in appointed committees and task forces during the Summer National Meeting.	\$2747.91
National Association of Insurance Commissioners (NAIC) Kansas City, MO	September 15-17	All expenses paid by NAIC for state insurance directors/commissioners to participate in the annual Insurance Summit and the Leadership Forum for new regulators.	\$836.20
National Association of Insurance Commissioners (NAIC) Midwest Zone Rapid City, SD	September 21-23	All expenses paid by NAIC Midwest Zone for the zone's state insurance directors/commissioners to participate in the Midwest zone annual conference to discuss topics of common interest among zone states.	\$859.67

Organization/Location	Date	Purpose	Amount
National Association of Insurance Commissioners (NAIC) Kansas City, MO	October 19	Lunch paid for by NAIC for state insurance directors participating in a lunch/learn onboarding zoom meeting for newly appointed insurance commissioners and the NAIC executive branch.	\$25.00
National Association of Insurance Commissioners (NAIC) Phoenix, AZ	October 25-28	All expenses paid by NAIC for state insurance directors/commissioners to participate in a Fall Insurance Commissioner Conference to discuss topics of common interest among the states and prepare for the upcoming hybrid national meeting.	\$1401.00
National Association of Insurance Commissioners (NAIC) San Diego, CA	December 10-16	All expenses paid by NAIC for state insurance directors/commissioners to participate in appointed committees and task forces during the National Meeting.	\$1,950.28