

NEBRASKA
ACCOUNTABILITY AND
DISCLOSURE COMMISSION
11th Floor, State Capitol
P.O. Box 95086
Lincoln, NE 68509
(402) 471-2522
www.nadc.nebraska.gov

BEFORE COMPLETING
READ FILING REQUIREMENTS

STATEMENT OF FINANCIAL INTERESTS

NADC FORM C-1

POSTMARK
DATE

MICROFILM
NUMBER

OFFICE USE ONLY

RECEIVED

MAR 28 2021

NEBRASKA ACCOUNTABILITY AND
DISCLOSURE COMMISSION

- Candidates for designated offices and holders of designated offices and positions must file this statement. See Sections 1A and 1B of the instructions.
- Candidates (including incumbents) subject to this filing requirement must file with the Commission and with the appropriate election official (See Instructions).
- Designated officeholders and holders of designated positions must file this statement with the Commission annually.
- Dollar values need not be report for any item, except Item 11.
- Persons who fail to file as required are subject to a civil penalty of up to \$2,000.

ITEM 1 YOUR NAME, ADDRESS AND PHONE NUMBER

Name Grams, Dennis Dean Telephone No. 402-499-4570
LAST FIRST MIDDLE
Address 9701 So. 64th St. Lincoln NE 68516
STREET ADDRESS OR RURAL ROUTE CITY STATE ZIP CODE

ITEM 2 OCCASION FOR FILING (Check Appropriate Box)

- ☐ A candidate for elective office ☐ Left office or position
☒ Annual officeholder's or state employee's report ☐ Newly appointed to office or position

ITEM 3 OFFICE HELD & TERM OF OFFICE (Incumbent elected/appointed officials and state employees. See 1B of instructions)

List the office or position you currently hold which requires this filing. If you have left office, list the office you held.

Office or Position: Environmental Quality Council Term: June 2019 June 22, 23
Professional Engineer Representative BEGINS ENDS
Name of City, County, District, or State Agency: Nebr. Dept. of Environment and Energy

ITEM 4 OFFICE SOUGHT (Candidates only. See 1A of instructions)

List the office sought which requires this filing.

Office: None

Name of City, County, District, or State Office: _____

ITEM 5 PERIOD COVERED BY THIS STATEMENT

This statement must cover all financial interests for the entire "preceding calendar year" and not just as of year-end. If you have left office, this statement must cover all financial interests from the end of the calendar year for which you previously filed up to and including the date you left office.

☒ This statement covers the preceding calendar year January 1 through December 31, 2021

☐ Left office, this statement covers the period January 1, _____ to _____
(DATE YOU LEFT OFFICE OR POSITION)

ITEM 6 SOURCES OF INCOME OF OVER \$1,000

Income includes money or any other form of recompense constituting income under the Internal Revenue Code. (See definitions)

Name and address of any source* (including an individual, business, body of government, political subdivision or body corporate) from which income of over \$1,000 was received.

List the nature of the source's business and the nature of the services you rendered or the circumstances under which income was received. NOTE: Do not list the amount of the income.

List your Government position if you were paid more than \$1,000.1.) Social Security

1a.)

2.) Nebraska Economic Development Corporation (NEEDCO)
4445 So. 86th Lincoln, NE 685212a.) Chairman of Board of Directors - non-profit organization providing Small Business Administration (SBA) loans3.) Retirement Annuity - U.S. Environmental Protection Agency (USEPA)3a.) Annuity based on time served with the U.S.E.P.A.4.) RBC Wealth Management4a.) Required Minimum Distribution over 70½5.) Ameritas Investment Corp.5a.) Required Minimum Distribution over 70½

*NOTE: IF INCOME RESULTED FROM EMPLOYMENT BY, OPERATION OF OR PARTICIPATION IN A PROPRIETORSHIP, PARTNERSHIP, CORPORATION OR OTHER PERSON, LIST THE SAME AS THE SOURCE OF INCOME, BUT NOT THE PATRONS, CUSTOMERS, PATIENTS, OR CLIENTS THEREOF.

ITEM 7 BUSINESSES WITH WHICH YOU ARE ASSOCIATED Including Non Profits (See definitions)

Name and address of all businesses, organizations, or associations (profit and non-profit) with which you held a position of officer, director, limited liability company member, partner, or stockholder and any entity in which you held a position of trustee. Such reporting is required based on the position held, not on whether income was received. You need not report business associations which are otherwise listed under Item 6.

Name and Address of Business or Organization.

Nature of Association

If you have nothing to report, write NONE1.) Nebraska Economic Development Corp.1a.) Chairman of the Board of Directors see 2a) above in item 6

2.)

2a.)

3.)

3a.)

4.)

4a.)

5.)

5a.)

6.)

6a.)

7.)

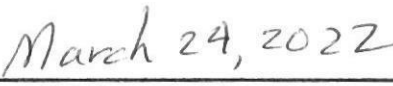
7a.)

ITEM 8	REAL PROPERTY OF THE FILER IN NEBRASKA (Real property valued at less than \$1,000 and your personal residence need <i>not</i> be reported.)	
List all real property in your name or in which you have a direct ownership interest. The description required must be sufficient to identify the location of the property. Exceptions: You need not report real estate owned by a business listed in Item 6 or 7, your personal residence or real property valued at less than \$1,000. Personal residence refers to your principal dwelling-house and adjacent land used for house-hold purposes, such as lawns and gardens.		
Location of Property (Description or Address)		Nature of Property (such as: agricultural, commercial, industrial, residential-rental)
If you have nothing to report, write NONE		
None		
ITEM 9	OTHER FINANCIAL INTERESTS AND PROPERTY HELD DURING THE PERIOD OF THIS STATEMENT WHICH EXCEEDED A FAIR MARKET VALUE OF \$1,000 AT ANY TIME DURING THE REPORTING PERIOD	
(a) List the names and addresses of the institutions in which you had checking and savings accounts and certificates of deposit.		
Financial Institution		Address
If you have nothing to report, write NONE		
Union Bank		3643 So. 48 th St. Lincoln, NE 68506
(b) List the names of the issuers of all stocks, bonds, and government securities, not otherwise listed under Items 6 or 7.		
If you have nothing to report, write NONE		
Please see attached listing of IRA investment accounts, and summary of RBC and Ameritas accounts		
(c) Describe other property owned or held for the production of income not otherwise disclosed in Items 6, 7, 8 or 9(a)(b). Include leaseholds and other interests in real estate, promissory notes and other obligations owed to you, beneficial interests in trusts and estates, cash value life insurance, IRAs, deferred income and retirement plans. Exception: Do not include accounts receivable, inventory, fixtures and equipment owned or used by a business listed in Items 6 & 7 or household goods, personal automobiles and other tangible personal property unless such property was held primarily for sale or exchange.		
If you have nothing to report, write NONE		
None		

ITEM 10	CREDITORS TO WHOM \$1,000 OR MORE WAS OWED OR GUARANTEED BY YOU OR A MEMBER OF YOUR IMMEDIATE FAMILY.		
Exception: Loans from a relative and land contracts which have been recorded with the County Clerk or Register of Deeds need not be reported. Accounts payable, debts arising out of retail installment transactions or loans made by a financial institution in the ordinary course of business need not be reported.			
Name		Address	
If you have nothing to report, write NONE			
None			

ITEM 11	SOURCES OF GIFTS OF A VALUE OF MORE THAN \$100 RECEIVED EXCEPT GIFTS FROM RELATIVES. (See definitions) If you have nothing to report, write NONE		
Name and address of Donor	Occupation or nature of business of Donor	Value of Gift (See Key Below)	Description of Gift and Circumstances or Occasion for Gift
If you have nothing to report, write NONE			
		Choose Value:	
	None	Choose Value:	
		Choose Value:	
		Choose Value:	
		Choose Value:	
		Choose Value:	
		Choose Value:	
		Choose Value:	
		Choose Value:	

The monetary value of each gift shall be categorized based on the good faith estimate of the filer. For each reported gift insert in the Value column the letter which corresponds to the value category of the gift. The value categories are:
A) \$100.01 to \$200; B) \$200.01 to \$500; C) \$500.01 to \$1,000; D) \$1,000.01 or more.

ITEM 12	SIGNATURE OF FILER AND DATE.		
I hereby state that I have used all reasonable diligence in the preparation of this Statement and that to the best of my knowledge it is true and complete.			
			
(Signature of Filer)		(Date)	

Criminal Penalties: Any person who files a statement knowing that information is false shall be guilty of a Class IV Felony, See §49-14,134, Nebraska Revised Statutes.

DENNIS DEAN GRAMS
Retirement Investment Accounts
2021

RBC RETIREMENT ACCOUNTS managed by David Spinar (402)465-3813

- Joint Tenants Account (with wife)
- Individual Retirement Account
- Individual Retirement Account
- Individual Retirement Account
- Roth Individual Retirement Account
- Roth Individual Retirement Account
- individual Retirement Account
- Other Investments

AMERITAS INVESTMENT CORP. RETIREMENT ACCOUNT managed by Tyler Mainquist
(402)613-4949

- Premiere Select Rollover Individual Retirement Account



**Wealth
Management**

A division of RBC Capital Markets, LLC, Member NYSE/FINRA/SIPC.

2021 ANNUAL STATEMENT SUMMARY

0006705 07 AV 1.752 07 TR 00066 01YDFE02 111100
DENNIS D GRAMS 010LN
LYNELLE I GRAMS 557

JT TEN/WROS
-TOD-
9701 SO 64TH STREET
LINCOLN NE 68516-9290



YOUR PREMIER MESSAGE BOARD

This annual summary statement is intended to make it easier to review and understand your investments at a glance. This information is for a full year or for the period your accounts were with us in 2021. However, for the purposes of tax preparation, please refer to your Tax Information Summary, which will be mailed to you on or before February 15, 2022.

SUMMARY OF ACCOUNTS

ACCOUNT NUMBER	YOUR INFORMATION	CURRENT VALUE	VALUE 12/31/2020	CHANGE IN VALUE DURING 2021
[REDACTED]	Joint - Joint Tenants WROS Account - Transfer On Death	[REDACTED]	[REDACTED]	[REDACTED]
[REDACTED]	Individual Retirement Account	[REDACTED]	[REDACTED]	[REDACTED]
[REDACTED]	Individual Retirement Account	[REDACTED]	[REDACTED]	[REDACTED]
[REDACTED]	Individual Retirement Account	[REDACTED]	[REDACTED]	[REDACTED]
[REDACTED]	Roth IRA	[REDACTED]	[REDACTED]	[REDACTED]
[REDACTED]	Roth IRA	[REDACTED]	[REDACTED]	[REDACTED]

2021 ANNUAL STATEMENT SUMMARY

SUMMARY OF ACCOUNTS (continued)

ACCOUNT NUMBER	YOUR INFORMATION	CURRENT VALUE	VALUE 12/31/2020	CHANGE IN VALUE DURING 2021
[REDACTED]	Individual Retirement Account	[REDACTED]	[REDACTED]	[REDACTED]
Total Value of Accounts		[REDACTED]	[REDACTED]	[REDACTED]
	Value of Other Investments	[REDACTED]	[REDACTED]	[REDACTED]
Total Portfolio Value		[REDACTED]	[REDACTED]	[REDACTED]

* - Since these accounts had no transaction activity during this statement period, statements were not produced. Change in value may represent market fluctuation in priced securities.

ENV# CEBLTKCFBBBCBHM_BBBBB
AMERITAS INVESTMENT COMPANY, LLC
PO BOX 5507
LINCOLN, NE 68505

SP 03 010592 59989E 117 ASNGLP

MR DENNIS D GRAMS
9701 S 64TH ST
LINCOLN NE 68516



Monthly

Ameritas Investment Company, LLC
Member FINRA/SIPC

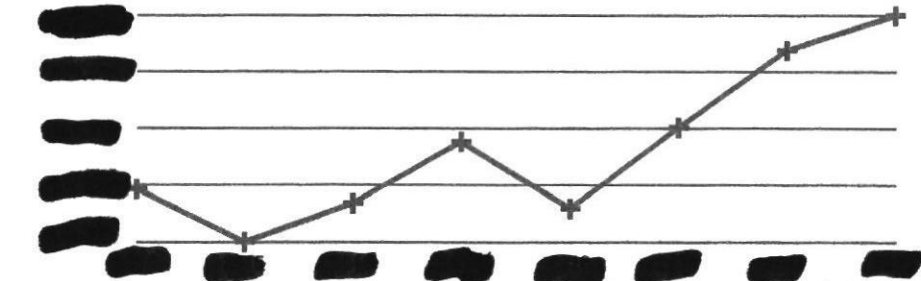
STATEMENT FOR THE PERIOD DECEMBER 1, 2021 TO DECEMBER 31, 2021

SUMMARY OF ACCOUNTS

TOTAL VALUE OF YOUR PORTFOLIO as of December 31, 2021

Note: This summary is provided for your convenience and information only. Total Value of Your Portfolios is the sum of the Total Value for all accounts listed, including insurance and annuities assets held away. Please refer to your account statements for more detailed information and definitions. Changes in address and registration may affect accounts included in the summary.

CHANGE IN VALUE OF YOUR PORTFOLIOS



Change In Value Of Your Portfolios information can be found in Miscellaneous Footnotes at the end of this statement.



Ameritas Investment Company, LLC
Member FINRA/SIPC

Summary of Accounts

ACCOUNTS INCLUDED	Account Number	Prior Period Value as of 12/01/21	Current Period Value as of 12/31/21
GENERAL INVESTMENT ACCOUNTS			
DENNIS D GRAMS - Individual			
TOTAL GENERAL INVESTMENT ACCOUNTS			
RETIREMENT ACCOUNTS			
DENNIS D GRAMS - Premiere Select Rollover IRA			
TOTAL RETIREMENT ACCOUNTS			
TOTAL PORTFOLIO VALUE			