

**State of Nebraska**  
**Accountability and Disclosure Commission**

P.O. BOX 95086  
Lincoln, Nebraska 68509  
[www.nadc.nebraska.gov](http://www.nadc.nebraska.gov)



Centre Terrace, 1225 L St. #400  
Phone (402) 471-2522  
Fax (402) 471-6599

March 29, 2023

Scott L. Cassels  
1317 North 141<sup>st</sup> Avenue  
Omaha, Nebraska 68154  
[SCOTT.CASSELS@KIEWIT.COM](mailto:SCOTT.CASSELS@KIEWIT.COM)

Dear Mr. Cassels:

Your Statement of Financial Interests filed with this office contains an error or an omission.

**Your Position:** Member, Nebraska Game & Parks Commission

**Date of Filing:** February 23, 2023

**Error or Omission:** Item 9(c) of your statement was blank.

**Action Required:** Fill in the required information on Item 9(c). If you have nothing to report, write "**None**".

You may e-mail your amended statement at [nadc@nebraska.gov](mailto:nadc@nebraska.gov) to complete and submit this required form.

If you have any questions, please feel free to contact this office.

Sincerely,

Frank J. Daley, Jr.  
Executive Director

NADC Forms can now be filed electronically.  
You may register from the FirstTuesday site at; [nadc-e.nebraska.gov](http://nadc-e.nebraska.gov)

The due date for completed Statements of Financial Interests was March 1, 2023

*Completed*

*Thanks  
Jill  
3.31.23*

|                                                                                                                                                                                                                        |                                                                                                                         |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |                  |               |                     |  |                 |  |   |  |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------|---------------|---------------------|--|-----------------|--|---|--|
| <b>NEBRASKA<br/>ACCOUNTABILITY AND<br/>DISCLOSURE COMMISSION</b><br>1225 L St., Suite 400<br>P.O. Box 95086<br>Lincoln, NE 68509<br>(402) 471-2522<br><a href="http://www.nadc.nebraska.gov">www.nadc.nebraska.gov</a> | <h1 style="margin: 0;">STATEMENT<br/>OF<br/>FINANCIAL<br/>INTERESTS</h1> <p style="margin-top: 20px;">NADC FORM C-1</p> | <table border="1" style="width:100%; border-collapse: collapse;"> <tr> <td style="width:50%; padding: 2px;">POSTMARK<br/>DATE</td> <td style="width:50%; padding: 2px;">email 3-31-23</td> </tr> <tr> <td style="padding: 2px;">MICROFILM<br/>NUMBER</td> <td style="padding: 2px;"></td> </tr> <tr> <td colspan="2" style="text-align: center; padding: 2px;">OFFICE USE ONLY</td> </tr> <tr> <td colspan="2" style="height: 100px; vertical-align: middle; text-align: center; font-size: 2em;">S</td> </tr> </table> | POSTMARK<br>DATE | email 3-31-23 | MICROFILM<br>NUMBER |  | OFFICE USE ONLY |  | S |  |
| POSTMARK<br>DATE                                                                                                                                                                                                       | email 3-31-23                                                                                                           |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |                  |               |                     |  |                 |  |   |  |
| MICROFILM<br>NUMBER                                                                                                                                                                                                    |                                                                                                                         |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |                  |               |                     |  |                 |  |   |  |
| OFFICE USE ONLY                                                                                                                                                                                                        |                                                                                                                         |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |                  |               |                     |  |                 |  |   |  |
| S                                                                                                                                                                                                                      |                                                                                                                         |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |                  |               |                     |  |                 |  |   |  |
| BEFORE COMPLETING<br>READ FILING REQUIREMENTS                                                                                                                                                                          | <h2 style="margin: 0;">AMEND</h2>                                                                                       |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |                  |               |                     |  |                 |  |   |  |

- Candidates for designated offices and holders of designated offices and positions must file this statement with the Commission. See Sections 1A and 1B of the instructions.
- Candidates (including incumbents) subject to this filing requirement must file this statement in order to have their names appear on the ballot. (See Instructions).
- Designated officeholders and holders of designated positions must file this statement with the Commission annually.
- Dollar values need not be report for any item, except Item 11.
- Persons who fail to file as required are subject to a civil penalty of up to \$2,000.

**ITEM 1 YOUR NAME, ADDRESS AND PHONE NUMBER**

|         |                               |               |                     |
|---------|-------------------------------|---------------|---------------------|
| Name    | <u>CASSELS SLOTT LEWIS</u>    | Telephone No. | <u>402.496.0711</u> |
|         | LAST FIRST MIDDLE             |               |                     |
| Address | <u>1317 N 141 ST AVE</u>      | <u>OMAHA</u>  | <u>NE 68154</u>     |
|         | STREET ADDRESS OR RURAL ROUTE | CITY          | STATE ZIP CODE      |

**ITEM 2 OCCASION FOR FILING (Check Appropriate Box)**

|                                                                                      |                                                                |
|--------------------------------------------------------------------------------------|----------------------------------------------------------------|
| <input type="checkbox"/> A candidate for elective office                             | <input type="checkbox"/> Left office or position               |
| <input checked="" type="checkbox"/> Annual officeholder's or state employee's report | <input type="checkbox"/> Newly appointed to office or position |

**ITEM 3 OFFICE HELD & TERM OF OFFICE (Incumbent elected/appointed officials and state employees. See IB of instructions)**

List the office or position you currently hold which requires this filing. If you have left office, list the office you held.

|                                                                     |                                |
|---------------------------------------------------------------------|--------------------------------|
| Office or Position: <u>NEBRASKA GAME: PARKS COMMISSIONER</u>        | Term: <u>1/15/22 - 1/15/26</u> |
|                                                                     | BEGINS ENDS                    |
| Name of City, County, District, or State Agency: <u>GAME: PARKS</u> |                                |

**ITEM 4 OFFICE SOUGHT (Candidates only. See 1A of instructions)**

List the office sought which requires this filing.

Office: \_\_\_\_\_

Name of City, County, District, or State Office: \_\_\_\_\_

**ITEM 5 PERIOD COVERED BY THIS STATEMENT**

This statement must cover all financial interests for the entire "preceding calendar year" and not just as of year-end. If you have left office, this statement must cover all financial interests from the end of the calendar year for which you previously filed up to and including the date you left office.

☒ This statement covers the **preceding calendar year** January 1 through December 31, 2022

☐ Left office, this statement covers the period January 1, \_\_\_\_\_ to \_\_\_\_\_

(DATE YOU LEFT OFFICE OR POSITION)

**ITEM 6 SOURCES OF INCOME OF OVER \$1,000**

Income includes money or any other form of recompense constituting income under the Internal Revenue Code. (See definitions)

Name and address of any source\* (including an individual, business, body of government, political subdivision or body corporate) from which income of over \$1,000 was received.

List the nature of the source's business and the nature of the services you rendered or the circumstances under which income was received. NOTE: Do not list the amount of the income.

**List your Government position if you were paid more than \$1,000.**1.) KIEWIT CORPORATION  
3555 FARNAM  
OMAHA NE 68131

1a.) EMPLOYEE

2.) US BANK  
625 N 132<sup>ND</sup> ST  
OMAHA, NE 68154

2a.) BANK - INTEREST INCOME

3.) PRODIGY ASSET MGMT  
MARTIN STANLEY  
NORTHWESTERN MUTUAL3a.) INVESTMENT INCOME  
(ASSET MANAGEMENT  
WEALTH MANAGEMENT  
FINANCIAL SERVICES)4.) BANK OF AMERICA  
7404 NE HWY 99  
VANCOUVER, WA 98685

4a.) BANK - INTEREST INCOME

\*NOTE: IF INCOME RESULTED FROM EMPLOYMENT BY, OPERATION OF OR PARTICIPATION IN A PROPRIETORSHIP, PARTNERSHIP, CORPORATION OR OTHER PERSON, LIST THE SAME AS THE SOURCE OF INCOME, BUT NOT THE PATRONS, CUSTOMERS, PATIENTS, OR CLIENTS THEREOF.

**ITEM 7 BUSINESSES WITH WHICH YOU ARE ASSOCIATED Including Non Profits (See definitions)**

Name and address of all businesses, organizations, or associations (profit and non-profit) with which you held a position of officer, director, limited liability company member, partner, or stockholder and any entity in which you held a position of trustee. Such reporting is required based on the position held, not on whether income was received. You need not report business associations which are otherwise listed under Item 6.

Name and Address of Business or Organization.

Nature of Association

**If you have nothing to report, write NONE**1.) KIEWIT CORPORATION  
3555 FARNAM  
OMAHA, NE 681311a.) EXECUTIVE VICE PRESIDENT  
MEMBER OF BOARD OF DIRECTORS2.) AKSARBEN  
7101 MERLEY RD, Suite 320  
OMAHA, NE 681012a.) EXECUTIVE COMMITTEE MEMBER  
MEMBER OF GOVERNING BOARD3.) WASHINGTON STATE UNIVERSITY  
PULLMAN, WASHINGTON3a.) ADVISORY BOARD OF VOLUNTARY  
POLLING OF ENGINEERING ARCHITECTURE

4.) MADONNA HOSPITAL

4a.) BOARD OF DIRECTOR

5.) DUCKS UNLIMITED

5a.) NATIONAL CPE COMMITTEE MEMBER

6.)

6a.)

7.)

7a.)

|               |                                                                                                                                                    |
|---------------|----------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>ITEM 8</b> | <b>REAL PROPERTY OF THE FILER IN NEBRASKA (Real property valued at less than \$1,000 and your personal residence need <i>not</i> be reported.)</b> |
|---------------|----------------------------------------------------------------------------------------------------------------------------------------------------|

List all real property in Nebraska in your name or in which you have a direct ownership interest. The description required must be sufficient to identify the location of the property. Exceptions: You need **not** report real property owned by a business listed in Item 6 or 7, your personal residence or real property valued at less than \$1,000. Personal residence refers to your principal dwelling-house and adjacent land used for house-hold purposes, such as lawns and gardens.

| Location of Property<br>(Description or Address) | Nature of Property<br>(such as: agricultural, commercial, industrial, residential-rental) |
|--------------------------------------------------|-------------------------------------------------------------------------------------------|
| <b>If you have nothing to report, write NONE</b> |                                                                                           |
| 1317 N 141 <sup>ST</sup> AVE OMAHA, NE 68154     | PERSONAL RESIDENCE                                                                        |
| 8865 VERDON CIRCLE, BENEVUE, NE                  | LAKE CABIN                                                                                |
| 633 COUNTY ROAD 5, VALLEY NE 68064               | HUNTING CABIN                                                                             |
| 2328 COUNTY ROAD 7, FREMONT NE                   | HUNTING AND FARM PROPERTY                                                                 |
| 3129 N 84 <sup>TH</sup> CIRCLE, OMAHA 68134      | STORAGE WAREHOUSE                                                                         |
| ENTRUSTION ROAD N.D., SUTAN NE                   | HUNTING AND FARM PROPERTY                                                                 |
| 1303 N 136 <sup>TH</sup> AVE, OMAHA NE 68154     | RESIDENTIAL LOT                                                                           |
|                                                  |                                                                                           |
|                                                  |                                                                                           |
|                                                  |                                                                                           |

|               |                                                                                                                                                                              |
|---------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>ITEM 9</b> | <b>OTHER FINANCIAL INTERESTS AND PROPERTY HELD DURING THE PERIOD OF THIS STATEMENT WHICH EXCEEDED A FAIR MARKET VALUE OF \$1,000 AT ANY TIME DURING THE REPORTING PERIOD</b> |
|---------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|

(a) List the names and addresses of the institutions in which you had checking and savings accounts and certificates of deposit.

| Financial Institution                            | Address                                 |
|--------------------------------------------------|-----------------------------------------|
| <b>If you have nothing to report, write NONE</b> |                                         |
| US BANK                                          | 525 N 132 <sup>ND</sup> OMAHA, NE 68154 |
| BANK OF AMERICA                                  | 7407 NE HWY 91, VANCOUVER WA 98665      |
|                                                  |                                         |
|                                                  |                                         |
|                                                  |                                         |

(b) List the names of the issuers of all stocks, bonds, and government securities, not otherwise listed under Items 6 or 7.

|                                                  |              |
|--------------------------------------------------|--------------|
| <b>If you have nothing to report, write NONE</b> |              |
| PRODIGY ASSET MGMT                               | SEE ATTACHED |
| MORLAN STANLEY                                   | "            |
| NORTHWESTERN MUTUAL                              | "            |
| KIEWIT CORPORATION                               |              |

(c) Describe other property owned or held for the production of income not otherwise disclosed in Items 6, 7, 8 or 9(a)(b). Include leaseholds and other interests in real estate, promissory notes and other obligations owed to you, beneficial interests in trusts and estates, cash value life insurance, IRAs, deferred income and retirement plans. Exception: Do **not** include accounts receivable, inventory, fixtures and equipment owned or used by a business listed in Items 6 & 7 or household goods, personal automobiles and other tangible personal property unless such property was held **primarily** for sale or exchange.

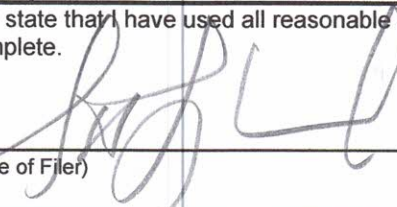
|                                                  |  |
|--------------------------------------------------|--|
| <b>If you have nothing to report, write NONE</b> |  |
| NONE                                             |  |

|                                                                                                                                                                                                                                                                                                                                             |                                                                                                              |                        |  |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------|------------------------|--|
| <b>ITEM 10</b>                                                                                                                                                                                                                                                                                                                              | <b>CREDITORS TO WHOM \$1,000 OR MORE WAS OWED OR GUARANTEED BY YOU OR A MEMBER OF YOUR IMMEDIATE FAMILY.</b> |                        |  |
| <p>Exception: Loans from a relative and land contracts which have been recorded with the County Clerk or Register of Deeds need <b>not</b> be reported. Accounts payable, debts arising out of retail installment transactions or loans made by a financial institution in the ordinary course of business need <b>not</b> be reported.</p> |                                                                                                              |                        |  |
| Name                                                                                                                                                                                                                                                                                                                                        |                                                                                                              | Address                |  |
| <b>If you have nothing to report, write NONE</b>                                                                                                                                                                                                                                                                                            |                                                                                                              |                        |  |
| US BANK                                                                                                                                                                                                                                                                                                                                     |                                                                                                              | 96TH AND CENTER, OMAHA |  |
|                                                                                                                                                                                                                                                                                                                                             |                                                                                                              |                        |  |

|                                                  |                                                                                                                                                         |                               |                                                            |
|--------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------|------------------------------------------------------------|
| <b>ITEM 11</b>                                   | <b>SOURCES OF GIFTS OF A VALUE OF MORE THAN \$100 RECEIVED EXCEPT GIFTS FROM RELATIVES. (See definitions) If you have nothing to report, write NONE</b> |                               |                                                            |
| Name and address of Donor                        | Occupation or nature of business of Donor                                                                                                               | Value of Gift (See Key Below) | Description of Gift and Circumstances or Occasion for Gift |
| <b>If you have nothing to report, write NONE</b> |                                                                                                                                                         |                               |                                                            |
|                                                  |                                                                                                                                                         | Choose Value:                 |                                                            |
|                                                  |                                                                                                                                                         | Choose Value:                 |                                                            |
|                                                  | NONE                                                                                                                                                    | Choose Value:                 |                                                            |
|                                                  |                                                                                                                                                         | Choose Value:                 |                                                            |
|                                                  |                                                                                                                                                         | Choose Value:                 |                                                            |
|                                                  |                                                                                                                                                         | Choose Value:                 |                                                            |
|                                                  |                                                                                                                                                         | Choose Value:                 |                                                            |
|                                                  |                                                                                                                                                         | Choose Value:                 |                                                            |
|                                                  |                                                                                                                                                         | Choose Value:                 |                                                            |

The monetary value of each gift shall be categorized based on the good faith estimate of the filer. For each reported gift insert in the Value column the letter which corresponds to the value category of the gift. The value categories are:  
A) \$100.01 to \$200; B) \$200.01 to \$500; C) \$500.01 to \$1,000; D) \$1,000.01 or more.

|                                                                                                                                                                    |                                     |                   |  |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------|-------------------|--|
| <b>ITEM 12</b>                                                                                                                                                     | <b>SIGNATURE OF FILER AND DATE.</b> |                   |  |
| <p>I hereby state that I have used all reasonable diligence in the preparation of this Statement and that to the best of my knowledge it is true and complete.</p> |                                     |                   |  |
| <br>(Signature of Filer)                                                        |                                     | 2.15.23<br>(Date) |  |

**Criminal Penalties:** Any person who files a statement knowing that information is false shall be guilty of a Class IV Felony, See §49-14,134, Nebraska Revised Statutes.

# Prodigy Asset Management

February 15, 2023

To Whom it May Concern:

Please see the list below of mutual funds owned by Scott and Karla Cassels in their investment account custodied at Charles Schwab & Co. Inc. The list below contains all funds that were held in the account at any time in 2022:

DFA US Core 1 (DFEOX)  
Edgewood Growth Fund (EGFIX)  
GMO Quality Fund (GQETX)  
Invesco Equal-weighted S&P 500 (VADFX)  
American Beacon Shapiro Equity Opportunity (SHXYX)  
Vanguard Dividend Appreciation Idx Adm (VDADX)  
DFA International Core Equity 1 (DFIEX)  
Hardman Johnston International Growth Fund (HJIGX)  
Vanguard Total Market Index Admiral (VTSAX)  
DFA Emerging Markets Core Equity (DFCEX)  
Dodge & Cox International (DODFX)  
GQG Emerging Equity Fund (GQGIX)  
GQG Global Equity Fund (GQRIX)  
GQG Intl Equity Fund (GSIMX)  
Dodge & Cox Income (DODIX)  
Met West Total Return Fund (MWT SX)  
PIMCO Total Return Fund (PTTRX)  
iShares Core U.S. Aggregate Bond ETF (AGG)  
Schwab Treasury Obligations Money Fund - I  
Schwab Bank Deposit Fund

Sincerely,



Michael Eglseder, CFA  
Managing Partner

13710 FNB Parkway, Suite 205  
Omaha, NE. 68154 / (402) 502-3225

# Morgan Stanley

John T. Belford  
*Portfolio Management Director*  
*Senior Vice President*  
*Financial Advisor*

Wealth Management  
13625 California St #400  
Omaha, NE 68154

rel 402 397 0900  
direct 402 399 6154  
fax 402 399 6336  
toll free 800 228 3309

NMLS # 1255608  
[john.belford@morganstanley.com](mailto:john.belford@morganstanley.com)

February 15, 2023

Mr. Scott Cassels  
1317 N 141st Street  
Omaha, NE 68154

Dear Scott:

Per your request, the following is a list of all securities you owned during the 2022 calendar year at Morgan Stanley:

- **MONEY MARKET:**

BDPS  
MORGAN STANLEY BANK N.A.

- **STOCKS:**

ALPHABET INC CL C (GOOG)  
BANK OF AMERICA CORP (BAC)  
BERKSHIRE HATHAWAY CL-B NEW (BRK'B)  
EOG RESOURCES INC. (EOG)  
GOLDMAN SACHS GRP INC (GS)  
HERTZ GLOBAL HLDGS INC (HTZ)  
MICROSOFT CORP (MSFT)  
UBER TECHNOLOGIES INC. (UBER)

- **EXCHANGE TRADED FUNDS:**

ISHARES GLOBAL TECH ETF (IXN)  
VANGUARD DIVIDEND APPRECIATION (VIG)  
VANGUARD GROWTH ETF (VUG)

- **SECURITIES SOLD IN 2022:**

AMAZON COM INC. (AMZN)  
AMER BEACON TWNTYFR STR INC Y (TFGYX)  
ARK INNOVATION ETF (ARKK)

- **SECURITIES SOLD IN 2022 (CONTINUED):**

CANADIAN SOLAR INC (CSIQ)  
COINBASE GLOBAL INC CLA A (COIN)  
LAM RESEARCH CORP (LRCX)  
META PLATFORMS INC. CL A (META)  
NEXTERA ENERGY PARTNERS LP (NFP)  
PAYPAL HLDGS INC. (PYPL)  
PEABODY ENERGY CORP (BTU)  
QUANTA SERVICES INC (PWR)  
SALESFORCE.COM INC. (CRM)  
SONY GROUP CORP ADR (SONY)  
TELLURIAN INC. (TELL)  
TWITTER INC. (TWTR)  
UBER TECHNOLOGIES INC. (UBER)

If you have any questions, please do not hesitate to contact us.

Best regards,



John Belford  
Portfolio Management Director  
Executive Director-Wealth Management  
Financial Advisor

The information and data contained herein is from sources considered reliable, but their accuracy and completeness is not guaranteed. This report has been prepared for illustrative purposes only and is not intended to be used as a substitute for account statements provided on a regular basis from Morgan Stanley Smith Barney LLC, and data in this report should be compared carefully with account statements to verify its accuracy. The Firm strongly encourages clients to consult with their own accountants or other advisors with respect to any tax questions. This report is being provided as a courtesy. By providing this report, we do not represent or agree that we will monitor the investments in your account(s) or deliver future reports.



To the Nebraska Game & Parks Commission,

The following list constitutes all investment holdings of Scott Cassels with Harrison Financial Services for the calendar year 2022.

| Name                                                                 | Ticker |
|----------------------------------------------------------------------|--------|
| DWS RREEF REAL ASSETS FUND INSTITUTIONAL CLASS                       | AAAZX  |
| BNY MELLON AMT-FREE MUNICIPAL BOND FUND CLASS I                      | DMBIX  |
| Dodge & Cox Income                                                   | DODIX  |
| ISHARES TR MSCI EMERGING MKTS INDEX FD                               | EEM    |
| ISHARES INC MSCI EMERGING MKTS EX CHINA ETF                          | EMXC   |
| NUVEEN NEBRASKA TAX-FREE FUND CLASS I                                | FNTYX  |
| GUGGENHEIM CORE BOND FUND INSTITUTIONAL CLASS                        | GIUSX  |
| VOYA INTERMEDIATE BOND FUND CLASS W                                  | IIBWX  |
| ISHARES TR CORE S&P MID-CAP ETF                                      | IJH    |
| ISHARES TR CORE S&P SMALL-CAP ETF                                    | IJR    |
| ISHARES TR RUSSELL 1000 VALUE ETF                                    | IWD    |
| ISHARES TR RUSSELL 1000 GROWTH ETF                                   | IWF    |
| J P MORGAN EXCHANGE-TRADED FD TR U S VALUE FACTOR ETF                | JVAL   |
| Northwestern Mutual FDIC Insured Money Market Program                | NMFD   |
| PIMCO MUNICIPAL BOND FUND CLASS I2                                   | PMUPX  |
| PIMCO INCOME FUND CLASS I2                                           | PONPX  |
| SCHWAB STRATEGIC TR INTL SMALL CAP EQUITY ETF                        | SCHC   |
| BNY MELLON GLOBAL FIXED INCOME FUND CLASS I                          | SDGIX  |
| DWS ENHANCED COMMODITY STRATEGY FUND INSTITUTIONAL CLASS             | SKIRX  |
| AMERICAN TAX-EXEMPT BOND FUND OF AMERICA CLASS F2                    | TEAFX  |
| iShares Tr MSCI USA Minimum Volatility                               | USMV   |
| VANGUARD INTL FD FTSE DEVELOPED MKTS ETF                             | VEA    |
| ISHARES TR MSCI USA VALUE FACTOR ETF                                 | VLUE   |
| VANGUARD SHORT-TERM TREASURY INDEX FUND ADMIRAL SHARES               | VSBSX  |
| VANGUARD INTL EQUITY INDEX FDS FTSE ALL-WORLD EX USA SMALL CAP INDEX | VSS    |
| VANGUARD INTL EQUITY INDEX FDS FTSE EMERGING MKTS ETF                | VWO    |
| Western Asset Core Plus Bond Fund                                    | WACPX  |
| NORTHWESTERN MUTUAL PRIVATE EQUITY CO INVESTMENT FUND 1 LP CAP CALL  |        |

*Tim Harrison*

02/20/2023

Tim Harrison, CPWA®, CIMA®, CFP®, AEP®, MSFS, CAP® | Founder & CEO | Harrison Financial Services

9300 Underwood Ave., Suite 500 • Omaha, NE 68114 • 402-891-2302 • [harrisonfinancialservices.com](http://harrisonfinancialservices.com)

Harrison Financial Services is a registered investment advisor. The following list constitutes all investment holdings of Scott Cassels with Harrison Financial Services for the calendar year 2022. This information is provided for informational purposes only and does not constitute an offer of any investment. Investments are subject to market risk and other risks. Past performance does not guarantee a similar outcome. Please consult your advisor for more information. © 2023 Harrison Financial Services. All rights reserved.