

NEBRASKA ACCOUNTABILITY AND DISCLOSURE COMMISSION 1225 L St., Suite 400 P.O. Box 95086 Lincoln, NE 68509 (402) 471-2522 https://nadc.nebraska.gov	STATEMENT OF FINANCIAL INTERESTS	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%; padding: 2px;">POSTMARK DATE</td> <td style="width: 50%; padding: 2px;">email 2/28/24</td> </tr> <tr> <td style="height: 20px;"></td> <td></td> </tr> <tr> <td colspan="2" style="text-align: center; padding: 2px;">OFFICE USE ONLY</td> </tr> <tr> <td colspan="2" style="height: 100px;"></td> </tr> </table>	POSTMARK DATE	email 2/28/24			OFFICE USE ONLY			
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OFFICE USE ONLY										
BEFORE COMPLETING READ FILING REQUIREMENTS	Calendar Year 2023 NADC FORM C-1									

- This statement must cover all financial interests for the entire "calendar year" as noted above and not just as of year-end.
- Candidates for designated offices and holders of designated offices and positions must file this statement with the Commission. See Sections 1A and 1B of the instructions.
- Candidates (including incumbents) subject to this filing requirement must file this statement in order to have their names appear on the ballot. (See Instructions).
- Designated officeholders and holders of designated positions must file this statement with the Commission annually.
- Dollar values need not be report for any item, except Item 11.
- Persons who fail to file as required are subject to a civil penalty of up to \$5,000.

ITEM 1	YOUR NAME, ADDRESS AND PHONE NUMBER
Name <u>Li</u> <u>Joanne</u> Telephone No. <u>442-812-9032</u> LAST FIRST MIDDLE	
E-mail <u>joli@unomaha.edu</u>	
Address <u>649 N 164th Street</u> <u>Omaha</u> <u>NE</u> <u>68118</u> STREET ADDRESS OR RURAL ROUTE CITY STATE ZIP CODE	

ITEM 2	OCCASION FOR FILING (Check Appropriate Box)
☐ A candidate for elective office ☒ Annual officeholder's or state employee's report ☐ Newly appointed to office or position	

ITEM 3	OFFICE HELD & TERM OF OFFICE (Incumbent elected/appointed officials and state employees. See IB of instructions)
List the office or position you currently hold which requires this filing. Office or Position: <u>Chancellor</u> Term: <u>July 1, 2021</u> BEGINS ENDS	
Name of City, County, District, or State Agency: <u>University of Nebraska at Omaha</u>	

ITEM 4	OFFICE SOUGHT (Candidates only. See 1A of instructions)
List the office sought which requires this filing. Office: _____ Name of City, County, District, or State Office: _____	

ITEM 5	PERIOD COVERED BY THIS STATEMENT
This statement must cover all financial interests for the entire "preceding calendar year" and not just as of year-end. This statement covers the preceding calendar year January1 through December 31, <u>2023</u>	

ITEM 6 SOURCES OF INCOME OF OVER \$1,000	
Income includes money or any other form of recompense constituting income under the Internal Revenue Code. (See definitions)	
Name and address of any source* (including an individual, business, body of government, political subdivision or body corporate) from which income of over \$1,000 was received.	List the nature of the source's business and the nature of the services you rendered or the circumstances under which income was received. NOTE: Do not list the amount of the income.
Also include your Government position if you were paid more than \$1,000.	
1.) University of Nebraska at Omaha	1a.) Chancellor
2.) Appendix A	2a.)
3.)	3a.)
4.)	4a.)
*NOTE: IF INCOME RESULTED FROM EMPLOYMENT BY, OPERATION OF OR PARTICIPATION IN A PROPRIETORSHIP, PARTNERSHIP, CORPORATION OR OTHER PERSON, LIST THE SAME AS THE SOURCE OF INCOME, BUT NOT THE PATRONS, CUSTOMERS, PATIENTS, OR CLIENTS THEREOF.	
ITEM 7 BUSINESSES WITH WHICH YOU ARE ASSOCIATED Including Non Profits (See definitions)	
Name and address of all businesses, organizations, or associations (profit and non-profit) with which you held a position of officer, director, limited liability company member, partner, or stockholder and any entity in which you held a position of trustee. Such reporting is required based on the position held, not on whether income was received. You need not report business associations which are otherwise listed under Item 6.	
Name and Address of Business or Organization.	Nature of Association
If you have nothing to report, write NONE	
1.) CENTRUM PUCP Business School Urbanizacion Los Alamos de Monterrico, Jiron Daniel Alomia Robles 125, Santiago de Surco 15023, Puru	1a.) International Advisory Board Member
2.) Lisbon School of Economics and Management Universidade de Lisboa Rua do Quelhas, n 6 1200-781 Lisboa Portugal	2a.) International Advisory Board Member
3.) EFMD Global Rue Gachard 88 - box 3 1050 Brussels, Belgium VAT: BE 0411 610 491	3a.) North Americas Advisory Board Member
4.) AACSB International 777 S. Harbour Islands Blvd. Suite 750 Tampa, Florida 33602, USA	4a.) Initial Accreditation Committee Member
5.) Summit League Presidents Council Sioux Falls, South Dakota	5a.) Member
6.) National Collegiate Hockey Conference Board of Directors Colorado Springs, Colorado	6a.) President

7.) Appendix B 	7a).
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ITEM 8	REAL PROPERTY OF THE FILER IN NEBRASKA (Real property valued at less than \$1,000 and your personal residence need <i>not</i> be reported.)
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List all real property in Nebraska in your name or in which you have a direct ownership interest. The description required must be sufficient to identify the location of the property. Exceptions: You need **not** report real property owned by a business listed in Item 6 or 7, your personal residence or real property valued at less than \$1,000. Personal residence refers to your principal dwelling-house and adjacent land used for house-hold purposes, such as lawns and gardens.

Location of Property (Description or Address)	Nature of Property (such as: agricultural, commercial, industrial, residential-rental)
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If you have nothing to report, write NONE	
NONE	

ITEM 9	OTHER FINANCIAL INTERESTS AND PROPERTY HELD DURING THE PERIOD OF THIS STATEMENT WHICH EXCEEDED A FAIR MARKET VALUE OF \$1,000 AT ANY TIME DURING THE REPORTING PERIOD
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(a) List the names and addresses of the institutions in which you had checking and savings accounts and certificates of deposit.

Financial Institution	Address
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If you have nothing to report, write NONE	
E-Trade	Arlington, VA

(b) List the names of the issuers of all stocks, bonds, and government securities, not otherwise listed under Items 6 or 7.

If you have nothing to report, write NONE	
Fidelity Investments, TIAA, Valic, JP Morgan Chase Bank (joint checking account), BNO (United States Brent Oil Fund), DBC (Invesco DB Commodity Index Tracking Fund), GSG (iShares S&P GSCI Commodity-Indexed Trust ETF), AAPL (Apple), IAUF (iShares Gold Strategy ETF), BCD (abrdn Bloomberg All Commodity Longer Dated Strategy K-1 Free ETF (BCD), EEM (iShares MSCI Emerging Markets ETF), QQQ (Invesco QQQ Trust), RSP (Invesco S&P 500 Equal Weight ETF), VEA (Vanguard Tax Managed Fund FTSE Developed Markets Index Fund ETF shares), IVOO (Vanguard S&P mid-cap 400 index fund ETF shares), BSMQ (Invesco Bulletshares 2026 Municipal Bond ETF), BSMP (Invesco Bulletshares 2025 Municipal Bond ETF), BSMO (Invesco Bulletshares 2024 Municipal Bond ETF), VMFXX (Vanguard Money Market Reserves - Vanguard Federal Money Market Fund), TreasuryDirect I Bonds, FDHY (Fidelity High Yield Factor ETF), FPDF (Fidelity Preferred Securities and Income ETF), FLDR (Fidelity Low Duration Bond Factor ETF).	

(c) Describe other property owned or held for the production of income not otherwise disclosed in Items 6, 7, 8 or 9(a)(b). Include leaseholds and other interests in real estate, promissory notes and other obligations owed to you, beneficial interests in trusts and estates, cash value life insurance, IRAs, deferred income and retirement plans. Exception: Do **not** include accounts receivable, inventory, fixtures and equipment owned or used by a business listed in Items 6 & 7 or household goods, personal automobiles and other tangible personal property unless such property was held **primarily** for sale or exchange.

If you have nothing to report, write NONE	
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(None)

ITEM 10	CREDITORS TO WHOM \$1,000 OR MORE WAS OWED OR GUARANTEED BY YOU OR A MEMBER OF YOUR IMMEDIATE FAMILY.
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Exception: Loans from a relative and land contracts which have been recorded with the County Clerk or Register of Deeds need **not** be reported. Accounts payable, debts arising out of retail installment transactions or loans made by a financial institution in the ordinary course of business need **not** be reported.

Name	Address
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If you have nothing to report, write NONE	
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None	
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ITEM 11	SOURCES OF GIFTS OF A VALUE OF MORE THAN \$100 RECEIVED EXCEPT GIFTS FROM RELATIVES. (See definitions) If you have nothing to report, write NONE
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Name and address of Donor	Occupation or nature of business of Donor	Value of Gift (See Key Below)	Description of Gift and Circumstances or Occasion for Gift
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If you have nothing to report, write NONE			
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None		Choose Value:	
		Choose Value:	
		Choose Value:	
		Choose Value:	
		Choose Value:	
		Choose Value:	
		Choose Value:	
		Choose Value:	

The monetary value of each gift shall be categorized based on the good faith estimate of the filer. For each reported gift insert in the Value column the letter which corresponds to the value category of the gift. The value categories are:

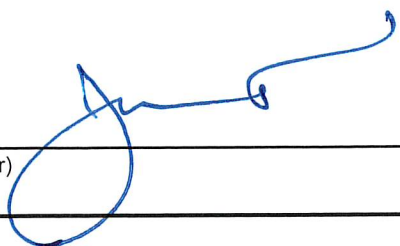
A) \$100.01 to \$200; B) \$200.01 to \$500; C) \$500.01 to \$1,000; D) \$1,000.01 or more.

ITEM 12	SUPPLEMENTAL INFORMATION (please attach any supplemental info and note below to see attached)
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ITEM 13	SIGNATURE OF FILER AND DATE
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I hereby state that I have used all reasonable diligence in the preparation of this Statement and that to the best of my knowledge it is true and complete.

(Signature of Filer)	(Date)
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2/28/2024

Criminal Penalties: Any person who files a statement knowing that information is false shall be guilty of a Class IV Felony, See §49-14,134, Nebraska Revised Statutes.

Attachment that Accompanies NADC C-I Filing

Appendix A:

Item 6. Sources of Income of Over \$1 ,000

The University of Nebraska Foundation provides significant financial support to the University, including an amount the Board of Regents has elected to use to support certain senior administrators, including the undersigned. For federal income tax purposes, the IRS requires such support to be reported as taxable income from the University to the undersigned. This NAOC form instructs filers to use the federal income tax definition of income, and for this reason the Foundation is not listed as a separate source of income. This statement supplements the information required on this form in the interest of full disclosure.

Appendix B:

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|-----|---|--------------------|
| 8) | CNBC Financial Wellness Council
900 Sylvan Ave, Englewood Cliffs. New Jersey. | Member |
| 9) | Greater Omaha Chamber
808 Conagra Dr STE 400, Omaha, NE 68102 | Ex-Officio member |
| 10) | Women in Business Education
info@wibenetwork.com | Founding member |
| 11) | Opera Omaha
1850 Farnam St, Omaha. NE 68102 | Board member |
| 12) | Omaha Symphony
1905 Harney St STE 400, Omaha, NE 68102 | Board member |
| 13) | Coalition of Urban and Metropolitan Universities
8000 York Road, Towson, MD 21252 | Board member |
| 14) | Higher Learning Commission
230 S Lasalle St Ste 7-500, Chicago, Illinois, 60604 | Trustee |
| 15) | Kansas City Federal Reserve Bank Omaha Branch | Board of Directors |
| 16) | US Strategic Command Consultation Committee | Member |