

NEBRASKA ACCOUNTABILITY AND DISCLOSURE COMMISSION 1225 L St., Suite 400 P.O. Box 95086 Lincoln, NE 68509 (402) 471-2522 https://nadc.nebraska.gov	STATEMENT OF FINANCIAL INTERESTS Calendar Year 2023 NADC FORM C-1	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%; padding: 2px;">POSTMARK DATE</td> <td style="width: 50%; text-align: center; padding: 2px;"><i>HD</i></td> </tr> <tr> <td colspan="2" style="text-align: center; padding: 5px;">OFFICE USE ONLY</td> </tr> </table>	POSTMARK DATE	<i>HD</i>	OFFICE USE ONLY	
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OFFICE USE ONLY						
BEFORE COMPLETING READ FILING REQUIREMENTS						

- This statement must cover all financial interests for the entire "calendar year" as noted above and not just as of year-end.
- Candidates for designated offices and holders of designated offices and positions must file this statement with the Commission. See Sections 1A and 1B of the instructions.
- Candidates (including incumbents) subject to this filing requirement must file this statement in order to have their names appear on the ballot. (See Instructions).
- Designated officeholders and holders of designated positions must file this statement with the Commission annually.
- Dollar values need not be report for any item, except Item 11.
- Persons who fail to file as required are subject to a civil penalty of up to \$5,000.

ITEM 1	YOUR NAME, ADDRESS AND PHONE NUMBER			
Name	<u>Kelly</u>	<u>Joseph</u>	<u>Patrick</u>	Telephone No. <u>402-471-1975</u>
	LAST	FIRST	MIDDLE	
E-mail	<u>joe.kelly@nebraska.gov</u>			
Address	<u>1526 K Street, Suite 100</u>	<u>Lincoln</u>	<u>NE</u>	<u>68508</u>
	STREET ADDRESS OR RURAL ROUTE	CITY	STATE	ZIP CODE
ITEM 2	OCCASION FOR FILING (Check Appropriate Box)			
	☐ A candidate for elective office ☒ Annual officeholder's or state employee's report ☐ Newly appointed to office or position			
ITEM 3	OFFICE HELD & TERM OF OFFICE (Incumbent elected/appointed officials and state employees. See 1B of instructions)			
List the office or position you currently hold which requires this filing.				
Office or Position: <u>Lieutenant Governor</u>		Term: <u>2023</u>		
		BEGINS ENDS		
Name of City, County, District, or State Agency: <u>State of Nebraska, Office of the Lieutenant Governor</u>				
ITEM 4	OFFICE SOUGHT (Candidates only. See 1A of instructions)			
List the office sought which requires this filing.				
Office: _____				
Name of City, County, District, or State Office: _____				
ITEM 5	PERIOD COVERED BY THIS STATEMENT			
This statement must cover all financial interests for the entire "preceding calendar year" and not just as of year-end.				
This statement covers the preceding calendar year January 1 through December 31, <u>2023</u>				

ITEM 6 SOURCES OF INCOME OF OVER \$1,000	
Income includes money or any other form of recompense constituting income under the Internal Revenue Code. (See definitions)	
Name and address of any source* (including an individual, business, body of government, political subdivision or body corporate) from which income of over \$1,000 was received.	List the nature of the source's business and the nature of the services you rendered or the circumstances under which income was received. NOTE: Do not list the amount of the income.
Also include your Government position if you were paid more than \$1,000.	
1.) State of Nebraska, Office of the Lieutenant Governor 1526 K Street, Suite 100 Lincoln, NE 68508	1a.)
2.)	2a.)
3.)	3a.)
4.)	4a.)
*NOTE: IF INCOME RESULTED FROM EMPLOYMENT BY, OPERATION OF OR PARTICIPATION IN A PROPRIETORSHIP, PARTNERSHIP, CORPORATION OR OTHER PERSON, LIST THE SAME AS THE SOURCE OF INCOME, BUT NOT THE PATRONS, CUSTOMERS, PATIENTS, OR CLIENTS THEREOF.	
ITEM 7 BUSINESSES WITH WHICH YOU ARE ASSOCIATED Including Non Profits (See definitions)	
Name and address of all businesses, organizations, or associations (profit and non-profit) with which you held a position of officer, director, limited liability company member, partner, or stockholder and any entity in which you held a position of trustee. Such reporting is required based on the position held, not on whether income was received. You need not report business associations which are otherwise listed under Item 6.	
Name and Address of Business or Organization.	Nature of Association
If you have nothing to report, write NONE	
1.) NONE	1a.)
2.)	2a.)
3.)	3a.)
4.)	4a.)
5.)	5a.)
6.)	6a.)
7.)	7a.)

ITEM 8	REAL PROPERTY OF THE FILER IN NEBRASKA (Real property valued at less than \$1,000 and your personal residence need <i>not</i> be reported.)	
List all real property in Nebraska in your name or in which you have a direct ownership interest. The description required must be sufficient to identify the location of the property. Exceptions: You need not report real property owned by a business listed in Item 6 or 7, your personal residence or real property valued at less than \$1,000. Personal residence refers to your principal dwelling-house and adjacent land used for house-hold purposes, such as lawns and gardens.		
Location of Property (Description or Address)		Nature of Property (such as: agricultural, commercial, industrial, residential-rental)
If you have nothing to report, write NONE		
NONE		
ITEM 9	OTHER FINANCIAL INTERESTS AND PROPERTY HELD DURING THE PERIOD OF THIS STATEMENT WHICH EXCEEDED A FAIR MARKET VALUE OF \$1,000 AT ANY TIME DURING THE REPORTING PERIOD	
(a) List the names and addresses of the institutions in which you had checking and savings accounts and certificates of deposit.		
Financial Institution		Address
If you have nothing to report, write NONE		
LincOne Credit Union		2500 N Street, Lincoln, NE 68516
Pinnacle Bank		1401 N Street, Lincoln, NE 68508
(b) List the names of the issuers of all stocks, bonds, and government securities, not otherwise listed under Items 6 or 7.		
If you have nothing to report, write NONE		
American Intl Group, Inc. (AIG), Atlas Corp (ATCO), Atmos Energy Corp (ATO), Autodesk, Inc (ADSK), Clarivate PLC (CLVT), CVS Health Corp. (CVS), Crane NXT Co. (CXT), DR Horton, Inc. (DHI), EOG Resources, Inc. (EOG), Fiserv Inc. (FI), Fiserv Inc. (FISV), Fortune Brands (FBIN), Freeport-McMoRan Inc (FCX), Johnson & Johnson (JNJ), JP Morgan Chase & Co (JPM), Lockheed Martin Corp (LMT), Masterbrand, Inc. (MBC), MGM Resorts Intl (MGM), Nutrien Ltd. (NTR), Option Care Health, Inc. (OPCH), Qualcomm, Inc. (QCOM), Charles Schwab Corp (SCHW), Valero Energy Corp (VLO), Visa, Inc. (V), Wesco Intl, Inc (WCC), American Strategic Brand CLF2 (ANBFX), American Century Cap Value Investor CL (ACMVX), Carillon Eagle Mid Cap Growth CLI (HAGIX), First Eagle Global CLI (SGIIX), Invesco Comstock CI Y (ACSDX), Invesco Global CI Y (OGLYX), JP Morgan Managed Income CL 1 (JMLX), JP Morgan Mortgage Backed Securities CI I (OMBIX), New Perspective CI F2 (ANWFX), T Rowe Price Blue Chip Growth CL I (TBCIX), First Trust Developed Markets Ex USALPHADEX ETF (FDT), First Trust Senior Loan Fund ETF (FTSL), First Trust IV Enchanced Short Maturity ETF new (FTSM), Lattice Hartford Multifactor Dev Mkts Ex US ETF (RODM), SPDR Blackstone Senior Ln ETF (SRLN), SPDR Double Total Return Tactical ETF (TOTL), Vanguard Growth ETF (VUG), Vanguard Short Term Corp Bond (VCSH), Vanguard Small Cap Value ETF (VBR), Vanguard Value ETF (VTI), Alphabet, Inc (GOOGL), Apple, Inc (AAPL), Berkshire Hathaway Inc (BRK/B), Carrier Global Corp (CARR), Chevron Corp (CVX), Home Depot, Inc. (HD), Iqvia Holdings, Inc. (IQV), Mastercard, Inc. (MA), Microsoft Corp. (MFTS), Palo Alto Networks, Inc. (PANW), Quanta Services, Inc. (PWR), Raytheon Technologies (RTX), Thermo Fisher (TMO), United Health Group, Inc. (UNH), Alger Spectra CI Z (ASPZX), Federated Hermes Kaufmann Inst. (CI (KAUIX), Amcap CI F2 (AMCFX), First Eagle Global CI I (SGIIX), Hartford Core Equity CI I (HGIIX), Lord Abbett Short Duration Income CI F (LDLFX), TRowe Price Global Technology Investor CI (PRGTX), TransAmerica Mid Cap Value Opportunities CI I (MVTIX), SPDR S&P 500 ETF (SPY), American Century Mid Cap Value Investor CL (ACMVX), American Strategic Bond CI F2 (ANBFX), JP Morgan Core Bond CI I (WOBDX), JP Morgan Equity Income CI I (HLIEX), Lord Abbett Ultra Short Bond CI F (LUBFX), Mainstay Winslow Large Cap Growth CI I (MLAIX), New Perspective CI F2 (ANWFX), Virtus Convertible CI P (ANCMX), Jackson Nat. Life Ins. Co. Elite Access Brokerage, US Treasury Note		

(c) Describe other property owned or held for the production of income not otherwise disclosed in Items 6, 7, 8 or 9(a)(b). Include leaseholds and other interests in real estate, promissory notes and other obligations owed to you, beneficial interests in trusts and estates, cash value life insurance, IRAs, deferred income and retirement plans. Exception: Do **not** include accounts receivable, inventory, fixtures and equipment owned or used by a business listed in Items 6 & 7 or household goods, personal automobiles and other tangible personal property unless such property was held **primarily** for sale or exchange.

If you have nothing to report, write NONE

Federal Employees Retirement Plan
Thrift Savings Plan (Federal)
Nebraska Public Employees Retirement Plan
DA Davidson Company
Edward D. Jones Investments

ITEM 10

CREDITORS TO WHOM \$1,000 OR MORE WAS OWED OR GUARANTEED BY YOU OR A MEMBER OF YOUR IMMEDIATE FAMILY.

Exception: Loans from a relative and land contracts which have been recorded with the County Clerk or Register of Deeds need **not** be reported. Accounts payable, debts arising out of retail installment transactions or loans made by a financial institution in the ordinary course of business need **not** be reported.

Name

Address

If you have nothing to report, write NONE

NONE

ITEM 11

SOURCES OF GIFTS OF A VALUE OF MORE THAN \$100 RECEIVED EXCEPT GIFTS FROM RELATIVES. (See definitions) If you have nothing to report, write NONE

Name and address of Donor

Occupation or nature of
business of Donor

Value of Gift
(See Key Below)

Description of Gift and
Circumstances or
Occasion for Gift

If you have nothing to report, write NONE

RLGA
1101 Wilson Blvd, 16th Floor
Arlington, VA 22209

Political Committee

D

Travel to Summer Meeting

RLGA
1101 Wilson Blvd, 16th Floor
Arlington, VA 22209

Political Committee

C

Travel to Fall Meeting

Choose Value:

Choose Value:

Choose Value:

Choose Value:

Choose Value:

Choose Value:

The monetary value of each gift shall be categorized based on the good faith estimate of the filer. For each reported gift insert in the Value column the letter which corresponds to the value category of the gift. The value categories are:

A) \$100.01 to \$200; B) \$200.01 to \$500; C) \$500.01 to \$1,000; D) \$1,000.01 or more.

ITEM 12	SUPPLEMENTAL INFORMATION (please attach any supplemental info and note below to see attached)
ITEM 13	SIGNATURE OF FILER AND DATE
I hereby state that I have used all reasonable diligence in the preparation of this Statement and that to the best of my knowledge it is true and complete.	
	
(Signature of Filer)	(Date)

Criminal Penalties: Any person who files a statement knowing that information is false shall be guilty of a Class IV Felony, See §49-14,134, Nebraska Revised Statutes.