

NEBRASKA
ACCOUNTABILITY AND
DISCLOSURE COMMISSION
11th Floor, State Capitol
P.O. Box 95086
Lincoln, NE 68509
(402) 471-2522
www.nadc.nebraska.gov

BEFORE COMPLETING
READ FILING REQUIREMENTS

STATEMENT OF FINANCIAL INTERESTS

NADC FORM C-1

POSTMARK
DATE

MICROFILM
NUMBER

OFFICE USE ONLY

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RECEIVED
MAY 28 2024

- Candidates for designated offices and holders of designated offices and positions must file this statement. See Sections 1A and 1B of the instructions.
- Candidates (including incumbents) subject to this filing requirement must file with the Commission and with the appropriate election official (See Instructions).
- Designated officeholders and holders of designated positions must file this statement with the Commission annually.
- Dollar values need not be report for any item, except Item 11.
- Persons who fail to file as required are subject to a civil penalty of up to \$2,000.

ITEM 1 YOUR NAME, ADDRESS AND PHONE NUMBER

Name Grams, Dennis Dean Telephone No. 402-499-4570
LAST FIRST MIDDLE
Address 7208 Sugar Creek Circle, Lincoln, NE 68516
STREET ADDRESS OR RURAL ROUTE CITY STATE ZIP CODE

ITEM 2 OCCASION FOR FILING (Check Appropriate Box)

- ☐ A candidate for elective office ☒ Left office or position
☐ Annual officeholder's or state employee's report ☐ Newly appointed to office or position

ITEM 3 OFFICE HELD & TERM OF OFFICE (Incumbent elected/appointed officials and state employees. See 1B of instructions)

List the office or position you currently hold which requires this filing. If you have left office, list the office you held.

Office or Position: Environmental Quality Council Term: _____
Professional Engineer Representative BEGINS ENDS
Name of City, County, District, or State Agency: Nebr. Dept of Environment and Energy

ITEM 4 OFFICE SOUGHT (Candidates only. See 1A of instructions)

List the office sought which requires this filing.

Office: None

Name of City, County, District, or State Office: _____

ITEM 5 PERIOD COVERED BY THIS STATEMENT

This statement must cover all financial interests for the entire "preceding calendar year" and not just as of year-end. If you have left office, this statement must cover all financial interests from the end of the calendar year for which you previously filed up to and including the date you left office.

- ☒ This statement covers the preceding calendar year January 1 through December 31, 2023
November 17, 2023
☒ Left office, this statement covers the period January 1, _____ to _____
(DATE YOU LEFT OFFICE OR POSITION)

ITEM 6 SOURCES OF INCOME OF OVER \$1,000

Income includes money or any other form of recompense constituting income under the Internal Revenue Code. (See definitions)

Name and address of any source* (including an individual, business, body of government, political subdivision or body corporate) from which income of over \$1,000 was received.

List the nature of the source's business and the nature of the services you rendered or the circumstances under which income was received. NOTE: Do not list the amount of the income.

List your Government position if you were paid more than \$1,000.

- | | | | |
|-----|---|------|---|
| 1.) | <u>Social Security</u> | 1a.) | |
| 2.) | <u>Nebraska Economic Development Corporation (NEDCO)</u>
<u>4445 So. Bbth Lincoln, NE 68521</u> | 2a.) | <u>Chairman of Board of Directors - non profit organization providing Small Business Administration (SBA) loans</u> |
| 3.) | <u>Retirement Annuity - U.S. Environmental Protection Agency (USEPA)</u> | 3a.) | <u>Annuity based on time served with the U.S. EPA</u> |
| 4.) | <u>RBC Wealth Management</u> | 4a.) | <u>Required Minimum Distribution over 70 1/2</u> |
| 5.) | <u>Ameritas Investment Corp</u> | 5a.) | <u>Required Minimum Distribution over 70 1/2</u> |

*NOTE: IF INCOME RESULTED FROM EMPLOYMENT BY, OPERATION OF OR PARTICIPATION IN A PROPRIETORSHIP, PARTNERSHIP, CORPORATION OR OTHER PERSON, LIST THE SAME AS THE SOURCE OF INCOME, BUT NOT THE PATRONS, CUSTOMERS, PATIENTS, OR CLIENTS THEREOF.

ITEM 7 BUSINESSES WITH WHICH YOU ARE ASSOCIATED Including Non Profits (See definitions)

Name and address of all businesses, organizations, or associations (profit and non-profit) with which you held a position of officer, director, limited liability company member, partner, or stockholder and any entity in which you held a position of trustee. Such reporting is required based on the position held, not on whether income was received. You need not report business associations which are otherwise listed under Item 6.

Name and Address of Business or Organization.

Nature of Association

If you have nothing to report, write NONE

- | | | | |
|-----|--|------|---|
| 1.) | <u>Nebraska Economic Development Corp.</u> | 1a.) | <u>Chairman of the Board of Directors</u>
<u>see 2a) above in item 6</u> |
| 2.) | | 2a.) | |
| 3.) | | 3a.) | |
| 4.) | | 4a.) | |
| 5.) | | 5a.) | |
| 6.) | | 6a.) | |
| 7.) | | 7a.) | |

ITEM 8	REAL PROPERTY OF THE FILER IN NEBRASKA (Real property valued at less than \$1,000 and your personal residence need <i>not</i> be reported.)	
List all real property in your name or in which you have a direct ownership interest. The description required must be sufficient to identify the location of the property. Exceptions: You need not report real estate owned by a business listed in Item 6 or 7, your personal residence or real property valued at less than \$1,000. Personal residence refers to your principal dwelling-house and adjacent land used for house-hold purposes, such as lawns and gardens.		
Location of Property (Description or Address)		Nature of Property (such as: agricultural, commercial, industrial, residential-rental)
If you have nothing to report, write NONE		
None		
ITEM 9	OTHER FINANCIAL INTERESTS AND PROPERTY HELD DURING THE PERIOD OF THIS STATEMENT WHICH EXCEEDED A FAIR MARKET VALUE OF \$1,000 AT ANY TIME DURING THE REPORTING PERIOD	
(a) List the names and addresses of the institutions in which you had checking and savings accounts and certificates of deposit.		
Financial Institution		Address
If you have nothing to report, write NONE		
Union Bank		3643 So. 48 th St. Lincoln, NE 68506
(b) List the names of the issuers of all stocks, bonds, and government securities, not otherwise listed under Items 6 or 7.		
If you have nothing to report, write NONE		
Please see attached listing of IRA investment accounts and summary of RBC and Ameritas accounts		
(c) Describe other property owned or held for the production of income not otherwise disclosed in Items 6, 7, 8 or 9(a)(b). Include leaseholds and other interests in real estate, promissory notes and other obligations owed to you, beneficial interests in trusts and estates, cash value life insurance, IRAs, deferred income and retirement plans. Exception: Do not include accounts receivable, inventory, fixtures and equipment owned or used by a business listed in Items 6 & 7 or household goods, personal automobiles and other tangible personal property unless such property was held primarily for sale or exchange.		
If you have nothing to report, write NONE		
None		

ITEM 10	CREDITORS TO WHOM \$1,000 OR MORE WAS OWED OR GUARANTEED BY YOU OR A MEMBER OF YOUR IMMEDIATE FAMILY.		
Exception: Loans from a relative and land contracts which have been recorded with the County Clerk or Register of Deeds need not be reported. Accounts payable, debts arising out of retail installment transactions or loans made by a financial institution in the ordinary course of business need not be reported.			
Name		Address	
If you have nothing to report, write NONE			
None			
ITEM 11	SOURCES OF GIFTS OF A VALUE OF MORE THAN \$100 RECEIVED EXCEPT GIFTS FROM RELATIVES. (See definitions) If you have nothing to report, write NONE		
Name and address of Donor	Occupation or nature of business of Donor	Value of Gift (See Key Below)	Description of Gift and Circumstances or Occasion for Gift
If you have nothing to report, write NONE			
		Choose Value:	
	None	Choose Value:	
		Choose Value:	
		Choose Value:	
		Choose Value:	
		Choose Value:	
		Choose Value:	
		Choose Value:	
The monetary value of each gift shall be categorized based on the good faith estimate of the filer. For each reported gift insert in the Value column the letter which corresponds to the value category of the gift. The value categories are: A) \$100.01 to \$200; B) \$200.01 to \$500; C) \$500.01 to \$1,000; D) \$1,000.01 or more.			
ITEM 12	SIGNATURE OF FILER AND DATE.		
I hereby state that I have used all reasonable diligence in the preparation of this Statement and that to the best of my knowledge it is true and complete.			
Dennis Dean Gams		November 17, 2023 (last meeting)	
(Signature of Filer)		(Date)	

Criminal Penalties: Any person who files a statement knowing that information is false shall be guilty of a Class IV Felony, See §49-14,134, Nebraska Revised Statutes.

DENNIS DEAN GRAMS
Retirement Investment Accounts
2023

RBC RETIREMENT ACCOUNTS managed by David Spinar (402)465-3813

- Joint Tenants Account (with wife)
- Individual Retirement Account
- Individual Retirement Account
- Individual Retirement Account
- Roth Individual Retirement Account
- Roth Individual Retirement Account
- Individual Retirement Account
- Other Investments

**AMERITAS INVESTMENT CORP. RETIREMENT ACCOUNT managed by Tyler Mainquist
(402)613-4949**

- Premiere Select Rollover Individual Retirement Account



2023 ANNUAL STATEMENT SUMMARY



THE UNIVERSITY OF CHICAGO

This annual summary statement is intended to make it easier to review and understand your investments at a glance. This information is for a full year or for the period your accounts were with us in 2023. However, for the purposes of tax preparation, please refer to your Tax Information Summary, which will be mailed to you on or before February 15, 2024.

SUMMARY OF ACCOUNTS

ACCOUNT NUMBER	YOUR INFORMATION	CURRENT VALUE	VALUE 12/31/2022	CHANGE IN VALUE DURING 2023
[REDACTED]	Joint - Joint Tenants WROS Account - Transfer On Death	[REDACTED]	[REDACTED]	[REDACTED]
[REDACTED]	Individual Retirement Account	[REDACTED]	[REDACTED]	[REDACTED]
[REDACTED]	Individual Retirement Account	[REDACTED]	[REDACTED]	[REDACTED]
[REDACTED]	Individual Retirement Account	[REDACTED]	[REDACTED]	[REDACTED]
[REDACTED]	Roth IRA	[REDACTED]	[REDACTED]	[REDACTED]
[REDACTED]	Roth IRA	[REDACTED]	[REDACTED]	[REDACTED]

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2023 ANNUAL STATEMENT SUMMARY

SUMMARY OF ACCOUNTS (continued)

ACCOUNT NUMBER	YOUR INFORMATION	CURRENT VALUE	VALUE 12/31/2022	CHANGE IN VALUE DURING 2023
[REDACTED]	Individual Retirement Account	[REDACTED]	[REDACTED]	[REDACTED]
Total Value of Accounts		[REDACTED]	[REDACTED]	[REDACTED]
	Estimated Accrued Bond Interest	[REDACTED]	[REDACTED]	[REDACTED]
	Value of Other Investments	[REDACTED]	[REDACTED]	[REDACTED]
Total Portfolio Value		[REDACTED]	[REDACTED]	[REDACTED]

Accrued interest and other investment information is received from sources we believe to be reliable; however, we cannot guarantee the accuracy of these figures. Please see "About Your Statement" on page 2 for further information.

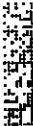
* - Since these accounts had no transaction activity during this statement period, statements were not produced. Change in value may represent market fluctuation in priced securities.

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AMERITAS ADVISORY SERVICES, LLC
PO BOX 5507
LINCOLN, NE 68505

SP 02 043447 24886H 123 ASNGLP
NFS/FMTC ROLLOVER IRA
FBO MR DENNIS D GRAMS
7208 SUGAR CREEK CIR
LINCOLN NE 68516



Ameritas Advisory Services

Securities offered through Ameritas Investment Company, LLC (AIC), Member FINRA/SIPC. Advisory services offered through AIC's affiliate Ameritas Advisory Services, LLC (AAS).

STATEMENT FOR THE PERIOD DECEMBER 1, 2023 TO DECEMBER 31, 2023

DENNIS D GRAMS - Premiere Select Rollover IRA
Account Number: GXY-036201

For questions about your accounts:

Local: 402 423 4022

In-State: 800 335 9858

National: 800 335 9858

www.ameritas.com

YOUR ACCOUNT EXECUTIVE IS:

TYLER MAINQUIST

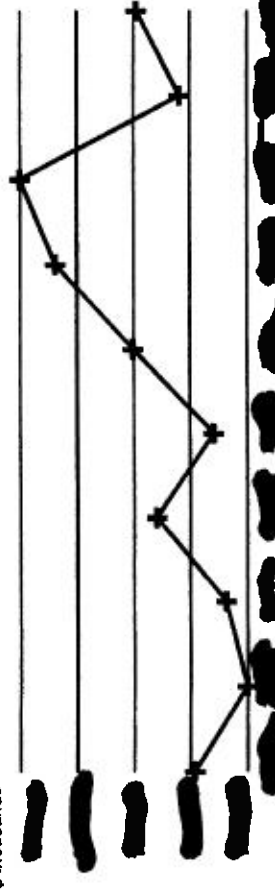
RR#: OFR

TOTAL VALUE OF YOUR PORTFOLIO

FOR YOUR INFORMATION

The information you provide assists your financial professional in making recommendations for your financial goals. If you experience a change in your financial situation, or wish to modify/add any restrictions concerning the management of your account, please contact your financial professional directly, or Ameritas Advisory Services at 800-335-9858.

CHANGE IN VALUE OF YOUR PORTFOLIO



Change in Value of Your Portfolio information can be found in Miscellaneous Footnotes at the end of this statement.

AMERITAS INVESTMENT COMPANY, LLC

Account carried with National Financial Services LLC, Member

Statement for the Period December 1, 2023 to December 31, 2023
DENNIS D GRAMS - Premiere Select Rollover IRA
Account Number: GXY-036201



Ameritas Advisory Services

Securities offered through Ameritas Investment Company, LLC (AIC), member FINRA/SIPC. Advisory services offered through AIC's affiliate Ameritas Advisory Services, LLC (AAS).

Account Overview

	Current Period	Year-to-Date
CHANGE IN ACCOUNT VALUE		
BEGINNING VALUE		
Additions and Withdrawals		
Misc. & Corporate Actions		
Income		
Taxes, Fees and Expenses		
Other Activity		
Change in Value		
ENDING VALUE (AS OF 12/31/23)		

Refer to Miscellaneous Footnotes for more information on Change in Value.

	Current Period	Year-to-Date
RETIREMENT CONTRIBUTIONS/DISTRIBUTIONS		
CONTRIBUTIONS		
For Current Year 2023		
For Prior Year 2022		
DISTRIBUTIONS		
For Current Year 2023		
State Withholding		
Federal Withholding		
TOTAL DISTRIBUTIONS AND WITHHOLDINGS		

ACCOUNT ALLOCATION



	Percent	Prior Period	Current Period
Bank Deposits			
Equities			
Fixed Income			
TOTAL			

Account Allocation shows the percentage that each asset class represents of your total account value. Account Allocation for equities, fixed income, and other categories may include mutual funds and may be net of short positions. NFS has made assumptions concerning how certain mutual funds are allocated. Closed-end mutual funds and Exchange Traded Products (ETPs) listed on an exchange may be included in the equity allocation. The chart may not reflect your actual portfolio allocation. Consult your broker/dealer prior to making investment decisions.

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Statement for the Period December 1, 2023 to December 31, 2023

DENNIS D GRAMS - Premiere Select Rollover IRA
Account Number: GXY-036201



Ameritas Advisory Services

Securities offered through Ameritas Investment Company, LLC (AIC), member FINRA/SIPC. Advisory services offered through AIC's affiliate Ameritas Advisory Services, LLC (AAS).

Account Overview continued

REALIZED GAIN (LOSS)	Current Period	Year-to-Date
FROM INVESTMENT ACTIVITY		
Realized Gain (Loss)		
TOTAL GAIN (LOSS)		

The account cost and associated gain (loss) is provided for informational purposes only and should not be used for tax-reporting purposes. Such information is provided to help you estimate and track the change in market value of each position relative to your investment into this security (not including reinvestments). Please refer to Footnotes and Cost Information at the end of this statement for more information.

REALIZED GAIN (LOSS) Estimated realized gains (losses) for transactions in your account as of the trade date, excluding transactions where cost basis information is incomplete.

GAIN (LOSS) FROM RETIREMENT DISTRIBUTION OF IN-KIND SHARES is the change in value of shares distributed compared to their cost and is provided for informational purposes only.

MESSAGES AND ALERTS

Note, the December 31, 2023, value of this account reflected on this statement will be reported to the IRS as part of year-end tax-reporting. You will receive IRS Form 5498 in January 2024 if you made any contributions, recharacterizations or conversions, or if you held Hard-to-Value assets during 2023. If you make contributions for 2023 in 2024, you will be provided a Form 5498 reflecting those contributions in May 2024. If you have a periodic distribution plan or check writing on your IRA account, please access www.mybrokerageinfo.com for updated tax withholding information. It is important to review the beneficiaries listed on your Premiere Select IRA account and update if needed.

THE INFORMATION YOU PROVIDE ASSISTS YOUR FINANCIAL PROFESSIONAL IN MAKING RECOMMENDATIONS FOR YOUR FINANCIAL GOALS. IF YOU EXPERIENCE A CHANGE IN YOUR FINANCIAL SITUATION, OR WISH TO MODIFY/ADD ANY RESTRICTIONS CONCERNING THE MANAGEMENT OF YOUR ACCOUNT, PLEASE CONTACT YOUR FINANCIAL PROFESSIONAL DIRECTLY.

Effective February 2024, Wells Fargo Bank will be added to the Bank Deposit Sweep Program and may start accepting deposits at this time. Effective January 2024, Field Point Private Bank and Trust will be removed from the BDSP bank list. Additionally, effective July 2023, Malvern Bank merged with First Bank, and effective November 2023, Pacific Western Bank merged with Banc of California. No action is required. If you would like to opt out of banks or have questions, please contact your financial professional.

Want to receive these statements more quickly and securely? Enroll in eDelivery! Eliminate the quarterly \$2 paper surcharge and reduce the risk of your information being sent through the postal mail. Contact your financial professional today or enroll through your online account access at wealthcapinvestor.com/ameritas

Statement for the Period December 1, 2023 to December 31, 2023
DENNIS D GRAMS - Premiere Select Rollover IRA
Account Number: GXY-036201



Ameritas Advisory Services

Services offered through Ameritas Investment Company, LLC (AIC), member FINRA/SIPC. Advisory services offered through AIC's affiliate Ameritas Advisory Services, LLC (AAS).

Account Overview *continued*

REQUIRED MINIMUM DISTRIBUTION (RMD)

2023 RMD for this account

Total This Year

Your RMD amount was calculated using the IRS Uniform Life Expectancy Table. Refer to Miscellaneous Footnotes at the end of this statement for more information.

Retirement account maintenance fee paid on 11/22/23.

INCOME

Dividends

Interest

Capital Gain

TOTAL INCOME

Current Period

Year-to-Date

All income is tax deferred until it is distributed from the account.

TAXES, FEES AND EXPENSES

Account Fees

Federal Tax Withheld

State Tax Withheld

TOTAL TAXES, FEES AND EXPENSES

Current Period

Year-to-Date