NEBRASKA ACCOUNTABILITY AND DISCLOSURE COMMISSION
1225 L St., Suite 400
P.O. Box 95086
Lincoln, NE 68509
(402) 471-2522
www.nadc.nebraska.gov

BEFORE COMPLETING READ FILING REQUIREMENTS

# STATEMENT OF FINANCIAL INTERESTS

NADC FORM C-1

| | |
|---|---|
| POSTMARK DATE | |
| MICROFILM NUMBER | |

OFFICE USE ONLY

RECEIVED
JUL 25 2023
NEBRASKA ACCOUNTABILITY and DISCLOSURE COMMISSION

- Candidates for designated offices and holders of designated offices and positions must file this statement with the Commission. See Sections 1A and 1B of the instructions.
- Candidates (including incumbents) subject to this filing requirement must file this statement in order to have their names appear on the ballot. (See Instructions).
- Designated officeholders and holders of designated positions must file this statement with the Commission annually.
- Dollar values need not be report for any item, except Item 11.
- Persons who fail to file as required are subject to a civil penalty of up to $2,000.

## ITEM 1 YOUR NAME, ADDRESS AND PHONE NUMBER

Name: Geist (LAST) Suzanne (FIRST) C. (MIDDLE) Telephone No. 402-440-1400

Address: 5030 Sawgrass Dr. (STREET ADDRESS OR RURAL ROUTE) Lincoln (CITY) NE (STATE) 68526 (ZIP CODE)

## ITEM 2 OCCASION FOR FILING (Check Appropriate Box)

- ☐ A candidate for elective office
- ☒ Left office or position
- ☐ Annual officeholder's or state employee's report
- ☐ Newly appointed to office or position

## ITEM 3 OFFICE HELD & TERM OF OFFICE (Incumbent elected/appointed officials and state employees. See IB of instructions)

List the office or position you currently hold which requires this filing. If you have left office, list the office you held.

Office or Position: State Senator Term: Jan. 2017 - Ap. 5, 2023 (BEGINS – ENDS)

Name of City, County, District, or State Agency: District 25 Lincoln, NE 68526

## ITEM 4 OFFICE SOUGHT (Candidates only. See 1A of instructions)

List the office sought which requires this filing.

Office:

Name of City, County, District, or State Office:

## ITEM 5 PERIOD COVERED BY THIS STATEMENT

This statement must cover all financial interests for the entire "**preceding calendar year**" and not just as of year-end. If you have left office, this statement must cover all financial interests from the end of the calendar year for which you previously filed up to and including the date you left office.

- ☐ This statement covers the **preceding calendar year** January1 through December 31,
- ☒ Left office, this statement covers the period January 1, 2023 to Ap. 5, 2023 (DATE YOU LEFT OFFICE OR POSITION)

Revised January 2018

| ITEM 6 | SOURCES OF INCOME OF OVER $1,000 |
|---|---|

Income includes money or any other form of recompense constituting income under the Internal Revenue Code. (See definitions)

| Name and address of any source* (including an individual, business, body of government, political subdivision or body corporate) from which income of over $1,000 was received. | List the nature of the source's business and the nature of the services you rendered or the circumstances under which income was received. NOTE: Do not list the amount of the income. |
|---|---|

**List your Government position if you were paid more than $1,000.**

| | |
|---|---|
| 1.) State of Nebraska<br>1445 K Street<br>Lincoln NE 68508 | 1a.) State Senator |
| 2.) | 2a.) |
| 3.) | 3a.) |
| 4.) | 4a.) |

*NOTE: IF INCOME RESULTED FROM EMPLOYMENT BY, OPERATION OF OR PARTICIPATION IN A PROPRIETORSHIP, PARTNERSHIP, CORPORATION OR OTHER PERSON, LIST THE SAME AS THE SOURCE OF INCOME, BUT NOT THE PATRONS, CUSTOMERS, PATIENTS, OR CLIENTS THEREOF.

| ITEM 7 | BUSINESSES WITH WHICH YOU ARE ASSOCIATED Including Non Profits (See definitions) |
|---|---|

Name and address of all businesses, organizations, or associations (profit and non-profit) with which you held a position of officer, director, limited liability company member, partner, or stockholder and any entity in which you held a position of trustee. Such reporting is required based on the position held, not on whether income was received. You need **not** report business associations which are otherwise listed under Item 6.

| Name and Address of Business or Organization | Nature of Association |
|---|---|

**If you have nothing to report, write NONE**

| | |
|---|---|
| 1.) Master Trauma Foundation<br>11060 Oak St. Ste. 7<br>Omaha, NE 68144 | 1a.) Board Chairman |
| 2.) | 2a.) |
| 3.) | 3a.) |
| 4.) | 4a.) |
| 5.) | 5a.) |
| 6.) | 6a.) |
| 7.) | 7a.) |

| ITEM 8 | REAL PROPERTY OF THE FILER IN NEBRASKA (Real property valued at less than $1,000 and your personal residence need *not* be reported.) |
|---|---|

List all real property in Nebraska in your name or in which you have a direct ownership interest. The description required must be sufficient to identify the location of the property. Exceptions: You need **not** report real property owned by a business listed in Item 6 or 7, your personal residence or real property valued at less than $1,000. Personal residence refers to your principal dwelling-house and adjacent land used for house-hold purposes, such as lawns and gardens.

| Location of Property (Description or Address) | Nature of Property (such as: agricultural, commercial, industrial, residential-rental) |
|---|---|
| **If you have nothing to report, write NONE** | |
| 1) Emporia Housing Emporia, KS<br>2) Haysville Housing Assoc. Haysville, KS<br>3) Salina Properties LP Salina, KS<br>4) Chapel Ridge of Blue Springs Blue Springs, MO<br>5) Chapel Ridge of St. Joseph St. Joseph, MO<br>6) Mansions of Granite Falls Papillion, NE | 1) Apt. Complex<br>2) Apt. Complex<br>3) Apt. Complex<br>4) Apt. Complex<br>5) Apt. Complex<br>6) Apt. Complex |

| ITEM 9 | OTHER FINANCIAL INTERESTS AND PROPERTY HELD DURING THE PERIOD OF THIS STATEMENT WHICH EXCEEDED A FAIR MARKET VALUE OF $1,000 AT ANY TIME DURING THE REPORTING PERIOD |
|---|---|

(a) List the names and addresses of the institutions in which you had checking and savings accounts and certificates of deposit.

| Financial Institution | Address |
|---|---|
| **If you have nothing to report, write NONE** | |
| West Gate Bank<br>UMB Bank | Lincoln, NE 68501<br>Kansas City, MO 64106 |

(b) List the names of the issuers of all stocks, bonds, and government securities, not otherwise listed under Items 6 or 7.

**If you have nothing to report, write NONE**

* See attached

(c) Describe other property owned or held for the production of income not otherwise disclosed in Items 6, 7, 8 or 9(a)(b). Include leaseholds and other interests in real estate, promissory notes and other obligations owed to you, beneficial interests in trusts and estates, cash value life insurance, IRAs, deferred income and retirement plans. Exception: Do **not** include accounts receivable, inventory, fixtures and equipment owned or used by a business listed in Items 6 & 7 or household goods, personal automobiles and other tangible personal property unless such property was held **primarily** for sale or exchange.

**If you have nothing to report, write NONE**

None

| ITEM 10 | CREDITORS TO WHOM $1,000 OR MORE WAS OWED OR GUARANTEED BY YOU OR A MEMBER OF YOUR IMMEDIATE FAMILY. |
|---|---|

Exception: Loans from a relative and land contracts which have been recorded with the County Clerk or Register of Deeds need **not** be reported. Accounts payable, debts arising out of retail installment transactions or loans made by a financial institution in the ordinary course of business need **not** be reported.

| Name | Address |
|---|---|
| **If you have nothing to report, write NONE** | |
| None | |

| ITEM 11 | SOURCES OF GIFTS OF A VALUE OF MORE THAN $100 RECEIVED EXCEPT GIFTS FROM RELATIVES. **(See definitions)** If you have nothing to report, write NONE |
|---|---|

| Name and address of Donor | Occupation or nature of business of Donor | Value of Gift (See Key Below) | Description of Gift and Circumstances or Occasion for Gift |
|---|---|---|---|
| **If you have nothing to report, write NONE** | | | |
| None | | Choose Value: | |
| | | Choose Value: | |
| | | Choose Value: | |
| | | Choose Value: | |
| | | Choose Value: | |
| | | Choose Value: | |
| | | Choose Value: | |
| | | Choose Value: | |

The monetary value of each gift shall be categorized based on the good faith estimate of the filer. For each reported gift insert in the Value column the letter which corresponds to the value category of the gift. The value categories are:

A) $100.01 to $200; B) $200.01 to $500; C) $500.01 to $1,000; D) $1,000.01 or more.

| ITEM 12 | SIGNATURE OF FILER AND DATE. |
|---|---|

I hereby state that I have used all reasonable diligence in the preparation of this Statement and that to the best of my knowledge it is true and complete.

Suzanne Geist — (Signature of Filer)

7/25/23 — (Date)

**Criminal Penalties:** Any person who files a statement knowing that information is false shall be guilty of a Class IV Felony, See §49-14,134, Nebraska Revised Statutes.

Mark and Suzanne Geist UBS Invetments as of 7/25/23

| Info | Symbol | Description | Quantity |
|---|---|---|---|
| * Attached | MMM | 3M CO | 5.000 |
| | ABT | ABBOTT LABS | 4.000 |
| | ABBV | ABBVIE INC COM | 23.000 |
| | ACN | ACCENTURE PLC IRELAND CL A | 6.000 |
| | ADBE | ADOBE INC. (DELAWARE) | 4.000 |
| | AMD | ADVANCED MICRO DEVICES INC | 7.000 |
| | AFL | AFLAC INC | 10.000 |
| | APD | AIR PROD & CHEMICAL INC | 3.000 |
| | ALB | ALBEMARLE CORP | 3.000 |
| | ALLE | ALLEGION PLC | 1.000 |
| | LNT | ALLIANT ENERGY CORP | 10.000 |
| | GOOGL | ALPHABET INC CL A | 18.000 |
| | AMZN | AMAZON.COM INC | 39.000 |
| | AEP | AMER ELECTRIC POWER CO | 7.000 |
| | AAL | AMERICAN AIRLS GROUP INC | 21.000 |
| | AIG | AMERICAN INTL GROUP INC, COM NEW | 13.000 |
| | AMT | AMERICAN TOWER CORP REIT | 5.000 |
| | AME | AMETEK INC (NEW) | 3.000 |
| | ADI | ANALOG DEVICES INC | 24.000 |
| | AON | AON PLC CL A | 5.000 |
| | AAPL | APPLE INC | 54.000 |
| | APTV | APTIV PLC | 13.000 |
| | ADM | ARCHER DANIELS MIDLAND CO | 18.000 |
| | ANET | ARISTA NETWORKS INC | 8.000 |
| | ATO | ATMOS ENERGY CORP | 6.000 |
| | ADP | AUTOMATIC DATA PROCESSNG INC | 17.000 |
| | AVY | AVERY DENNISON CORP | 3.000 |
| | BAC | BANK OF AMER CORP | 56.000 |
| | WRB | BERKLEY W R CORP | 1.000 |
| | BRKB | BERKSHIRE HATHAWAY INC NEW CL B | 12.000 |
| | BLK | BLACKROCK INC | 1.000 |
| | BA | BOEING COMPANY | 4.000 |
| | BKNG | BOOKING HLDGS INC | 1.000 |
| | BMY | BRISTOL MYERS SQUIBB CO | 35.000 |
| | AVGO | BROADCOM INC | 3.000 |
| | BRO | BROWN & BROWN INC | 8.000 |
| | CDNS | CADENCE DESIGN SYSTEM | 21.000 |
| | CZR | CAESARS ENTMT INC NEW | 12.000 |
| | CAT | CATERPILLAR INC | 5.000 |
| | CVX | CHEVRON CORP | 20.000 |
| | CMG | CHIPOTLE MEXICAN GRILL INC CL A | 1.000 |
| | CTAS | CINTAS CORP | 2.000 |
| | CSCO | CISCO SYSTEMS INC | 101.000 |
| | CLX | CLOROX CO | 9.000 |
| | KO | COCA COLA CO COM | 44.000 |
| | CL | COLGATE PALMOLIVE CO | 15.000 |
| | CMCSA | COMCAST CORP NEW CL A | 89.000 |
| | COP | CONOCOPHILLIPS | 1.000 |
| | STZ | CONSTELLATION BRANDS INC CL A | 4.000 |
| | CEG | CONSTELLATION ENERGY | 4.000 |
| | CTVA | CORTEVA INC | 15.000 |
| | COST | COSTCO WHOLESALE CORP | 4.000 |
| | DE | DEERE AND CO | 2.000 |
| | DLR | DIGITAL REALTY TRUST INC REIT | 6.000 |
| | DOV | DOVER CORP | 6.000 |
| | DOW | DOW INC | 12.000 |
| | ETN | EATON CORP PLC | 5.000 |
| | EIX | EDISON INTL | 7.000 |
| | ELV | ELEVANCE HEALTH INC | 4.000 |
| | EMR | EMERSON ELECTRIC CO | 8.000 |

| | | |
|---|---|---|
| EQIX | EQUINIX INC REIT | 1.000 |
| ETSY | ETSY INC | 14.000 |
| EXC | EXELON CORP | 17.000 |
| XOM | EXXON MOBIL CORP | 44.000 |
| NXTG | FIRST TRUST INDXX NEXTG ETF | 260.000 |
| FI | FISERV INC | 11.000 |
| FMC | FMC CORP NEW | 3.000 |
| AJG | GALLAGHER ARTHUR J & CO | 7.000 |
| GEHC | GE HEALTHCARE TECHNOLOGIES INC | 12.000 |
| GE | GENL ELEC CO | 9.000 |
| GPC | GENUINE PARTS CO | 8.000 |
| GILD | GILEAD SCIENCES INC | 19.000 |
| GPN | GLOBAL PAYMENTS INC | 5.000 |
| GS | GOLDMAN SACHS GROUP INC | 5.000 |
| HAL | HALLIBURTON CO (HOLDING COMPANY) | 22.000 |
| HIG | HARTFORD FINCL SERVICES GROUP INC | 7.000 |
| HCA | HCA HEALTHCARE, INC | 5.000 |
| HES | HESS CORP | 8.000 |
| HLT | HILTON WORLDWIDE HOLDINGS INC | 11.000 |
| HD | HOME DEPOT INC | 4.000 |
| HON | HONEYWELL INTL INC | 9.000 |
| HST | HOST HOTELS & RESORTS INC (REIT) | 22.000 |
| HUM | HUMANA INC | 3.000 |
| ITW | ILLINOIS TOOL WORKS INC | 6.000 |
| ILMN | ILLUMINA INC | 4.000 |
| ICE | INTERCONTINENTALEXCHANGE GROUP | 14.000 |
| IBM | INTL BUSINESS MACH | 14.000 |
| INTU | INTUIT | 3.000 |
| INVH | INVITATION HOMES INC REIT | 18.000 |
| JNJ | JOHNSON & JOHNSON COM | 30.000 |
| JPM | JPMORGAN CHASE & CO | 34.000 |
| KLAC | KLA CORP | 10.000 |
| KR | KROGER COMPANY | 14.000 |
| LW | LAMB WESTON HLDGS INC | 4.000 |
| LLY | LILLY ELI & CO | 11.000 |
| LIN | LINDE PLC NEW EUR | 6.000 |
| LKQ | LKQ CORP NEW | 12.000 |
| LMT | LOCKHEED MARTIN CORP | 1.000 |
| LOW | LOWES COMPANIES INC | 6.000 |
| LYB | LYONDELLBASELL S - A - CL A EUR | 6.000 |
| MMC | MARSH & MCLENNAN COS INC | 10.000 |
| MLM | MARTIN MARIETTA MATERIALS INC | 2.000 |
| MA | MASTERCARD INC CL A | 17.000 |
| MCD | MCDONALDS CORP | 13.000 |
| MDT | MEDTRONIC PLC | 20.000 |
| MRK | MERCK & CO INC COM | 33.000 |
| META | META PLATFORMS INC CL A | 6.000 |
| MSFT | MICROSOFT CORP | 47.000 |
| MOH | MOLINA HEALTHCARE INC | 3.000 |
| MDLZ | MONDELEZ INTL INC | 31.000 |
| MCO | MOODYS CORP | 4.000 |
| MSI | MOTOROLA SOLUTIONS INC | 14.000 |
| NFLX | NETFLIX INC | 9.000 |
| NEE | NEXTERA ENERGY INC COM | 21.000 |
| NKE | NIKE INC CL B | 15.000 |
| NVDA | NVIDIA CORP | 18.000 |
| NXPI | NXP SEMICONDUCTORS N V COM EUR | 20.000 |
| ORLY | O REILLY AUTOMOTIVE INC | 1.000 |
| ODFL | OLD DOMINION FREIGHT LINES INC | 3.000 |
| ORCL | ORACLE CORP | 58.000 |
| OTIS | OTIS WORLDWIDE CORP | 6.000 |
| PCAR | PACCAR INC | 16.000 |

| | | |
|---|---|---|
| PH | PARKER HANNIFIN CORP | 4.000 |
| PEP | PEPSICO INC | 15.000 |
| PFE | PFIZER INC | 16.000 |
| PSX | PHILLIPS 66 | 8.000 |
| PPL | PPL CORP | 16.000 |
| PG | PROCTER & GAMBLE CO | 21.000 |
| PGR | PROGRESSIVE CORP OHIO | 13.000 |
| PLD | PROLOGIS INC COM | 14.000 |
| PRU | PRUDENTIAL FINANCIAL INC | 7.000 |
| PEG | PUBLIC SERVICE ENTERPRSE GROUP INC | 9.000 |
| PSA | PUBLIC STORAGE REIT | 2.000 |
| DGX | QUEST DIAGNOSTICS INC | 6.000 |
| RMD | RESMED INC | 4.000 |
| ROST | ROSS STORES INC | 16.000 |
| SPGI | S&P GLOBAL INC | 6.000 |
| CRM | SALESFORCE, INC. | 4.000 |
| SRE | SEMPRA | 6.000 |
| SPG | SIMON PPTY GROUP INC SBI | 2.000 |
| SNA | SNAP ON INC | 3.000 |
| SO | SOUTHERN CO | 11.000 |
| SBUX | STARBUCKS CORP | 12.000 |
| SNPS | SYNOPSYS INC | 10.000 |
| TER | TERADYNE INC | 10.000 |
| TSLA | TESLA INC | 12.000 |
| TXN | TEXAS INSTRUMENTS | 9.000 |
| CI | THE CIGNA GROUP $0.04 | 5.000 |
| TMO | THERMO FISHER SCIENTIFIC INC | 4.000 |
| TJX | TJX COS INC NEW | 8.000 |
| TMUS | T-MOBILE US INC COM | 23.000 |
| UBS | UBS GROUP AG | 1,070.000 |
| ULTA | ULTA BEAUTY, INC | 2.000 |
| UNP | UNION PACIFIC CORP | 4.000 |
| UPS | UNITED PARCEL SERVICE INC CL B | 6.000 |
| UNH | UNITEDHEALTH GROUP INC | 11.000 |
| URI | UNTD RENTALS INC | 1.000 |
| VLO | VALERO ENERGY CORP NEW | 10.000 |
| VTI | VANGUARD TOTAL STOCK MKT ETF | 735.000 |
| VRSN | VERISIGN INC | 2.000 |
| VRSK | VERISK ANALYTICS INC | 5.000 |
| VZ | VERIZON COMMUNICATIONS INC | 87.000 |
| VRTX | VERTEX PHARMACEUTICAL INC | 4.000 |
| V | VISA INC CL A | 12.000 |
| WMT | WALMART INC | 13.000 |
| DIS | WALT DISNEY CO (HOLDING CO) DISNEY COM | 37.000 |
| WBD | WARNER BROS DISCOVERY INC | 1.000 |
| WM | WASTE MGMT INC NEW | 10.000 |
| WELL | WELLTOWER INC REIT | 10.000 |
| WY | WEYERHAEUSER CO | 16.000 |
| WMB | WILLIAMS COS INC (DEL) | 31.000 |
| XEL | XCEL ENERGY INC | 10.000 |

**Mark Geist IRA as of 7/25/23**

| | | |
|---|---|---|
| ABBV | ABBVIE INC COM | 48.000 |
| ABT | ABBOTT LABS | 61.000 |
| ACN | ACCENTURE PLC IRELAND CL A | 21.000 |
| ADI | ANALOG DEVICES INC | 22.000 |
| ADP | AUTOMATIC DATA PROCESSNG INC | 21.000 |
| AEP | AMER ELECTRIC POWER CO | 47.000 |
| AVGO | BROADCOM INC | 11.000 |
| BLK | BLACKROCK INC | 5.000 |
| CB | CHUBB LTD CHF | 30.000 |
| DEO | DIAGEO PLC NEW GB SPON ADR | 19.000 |
| DFS | DISCOVER FINANCIAL SERVICES | 14.000 |

| | | |
|---|---|---|
| EOG | EOG RESOURCES INC | 29.000 |
| HD | HOME DEPOT INC | 14.000 |
| HON | HONEYWELL INTL INC | 25.000 |
| JNJ | JOHNSON & JOHNSON COM | 39.000 |
| JPM | JPMORGAN CHASE & CO | 40.000 |
| KO | COCA COLA CO COM | 90.000 |
| LIN | LINDE PLC NEW EUR | 16.000 |
| MCD | MCDONALDS CORP | 18.000 |
| MFBZSG | BLACKSTONE PRIVATE CREDIT FUND CLASS S A/O 06/30/2023 | 10,955.749 |
| MFBZSM | NB CROSSROADS PRIVATE MARKETS FUND VII LP | 200,000.000 |
| MFBZSN | NB CROSSROADS PRIVATE MARKETS FUND VII LP A/O 12/30/2022 | 69,621.000 |
| MFSBNH | FS CREDIT REAL ESTATE INCOME TRUST, INC. CLASS S A/O 06/30/2023 | 10,868.077 |
| MMC | MARSH & MCLENNAN COS INC | 26.000 |
| MS | MORGAN STANLEY | 34.000 |
| MSFT | MICROSOFT CORP | 64.000 |
| NEE | NEXTERA ENERGY INC COM | 79.000 |
| ORCL | ORACLE CORP | 70.000 |
| PG | PROCTER & GAMBLE CO | 38.000 |
| PLD | PROLOGIS INC COM | 46.000 |
| PSX | PHILLIPS 66 | 26.000 |
| RSG | REPUBLIC SERVICES INC | 21.000 |
| RTX | RAYTHEON TECHNOLOGIES CORP | 58.000 |
| RIVN | RIVIAN AUTOMOTIVE INC CL A | 900.000 |
| SBUX | STARBUCKS CORP | 61.000 |
| TSLA | TESLA INC | 125.000 |
| TSM | TAIWAN SEMICONDUCTOR MFG CO LTD ADR | 7.000 |
| TXN | TEXAS INSTRUMENTS | 22.000 |
| UNH | UNITEDHEALTH GROUP INC | 16.000 |
| UNP | UNION PACIFIC CORP | 22.000 |
| UPS | UNITED PARCEL SERVICE INC CL B | 28.000 |
| XOM | EXXON MOBIL CORP | 70.000 |
| | ACAP STRATEGIC FUND | 7,997.614 |

*Please be advised the data in this email was obtained from UBS systems as of the date reflected but is provided for informational purposes only. These systems show data that fluctuates with market and transaction activity and is not official UBS books and records. Assets in your account(s) that are not valued daily (for example, alternative investments and annuities) are not accurately reflected and you should refer to your official UBS statement for disclosures about those values.*

**INTERNAL USE ONLY**

| Info | CUSIP/ Symbol | Description | Quantity | Price | Value |
|---|---|---|---|---|---|
| | | | | | **2,803.73** |
| Yld | | UBS Insured Sweep Program | | | 2,803.73 |

| Total Cost | Unrealized (Tax) G/L | %G/L | Yield | Avg Cost | Tax Lots |
|---|---|---|---|---|---|
| **0.00** | **0.00** | **0.00%** | | | |
| | | | 0.10% | * | |